

ϵ -CONDITION SPECTRUM OF OPERATORS

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RANSFORD SPECTRUM

DEFINITION



T. J. Ransford.

Generalised spectra and analytical multivalued function

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Consider an open subset Ω of X (a normed linear space) satisfying the following properties

- 1 $1 \in \Omega$,
- 2 $0 \notin \Omega$,
- 3 $z\Omega \subseteq \Omega$ for all $z \in \mathbb{C} \setminus \{0\}$

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Ransford's Spectrum

$$\sigma_{\Omega}(x) = \{\lambda \in \mathbb{C} : x - \lambda \notin \Omega\}.$$

CONDITION SPECTRUM IN A BANACH ALGEBRA

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DEFINITION (PSEUDOSPECTRUM ($0 < \epsilon < 1$))

$$\Lambda_\epsilon(a) := \left\{ \lambda \in \mathbb{C} : \|(\lambda - a)^{-1}\| \geq \frac{1}{\epsilon} \right\},$$

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- 3 $\|a^{-1}\| < \frac{1}{\epsilon} \implies \|(za)^{-1}\| < \frac{1}{\epsilon}$

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① $\sigma_\epsilon(0) = \{0\}$ and $\sigma_\epsilon(1) = \{1\}$.

BASIC PROPERTIES

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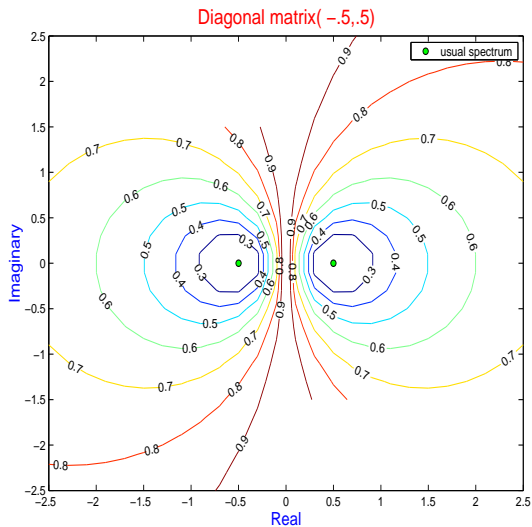
- 5 $\sigma_\epsilon(a)$ is non empty compact subset of \mathbb{C} for every $a \in A$
- 6 The map $a \rightarrow \sigma_\epsilon(a)$ is upper semi continuous function from A to compact subsets of \mathbb{C} .

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- 7 $\sigma_\epsilon(\alpha + \beta a) = \alpha + \beta \sigma_\epsilon(a)$ for all $\alpha, \beta \in \mathbb{C}$

CONDITION SPECTRUM OF A DIAGONAL MATRIX



GEOMETRIC PROPERTIES

NO ISOLATED POINTS

THEOREM (NO ISOLATED POINTS)

Let A be a complex unital Banach algebra and $a \in A$ such that $a \neq \lambda$ for every $\lambda \in \mathbb{C}$. Then $\sigma_\epsilon(a)$ has no isolated points.

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THEOREM (FINITE COMPONENTS)

Let $a \in A$ and $0 < \epsilon < 1$. Then $\sigma_\epsilon(a)$ has a finite number of components and every component of $\sigma_\epsilon(a)$ contains an element from $\sigma(a)$.

Condition spectral radius $r_\epsilon(a)$ is defined as

$$r_\epsilon(a) := \sup\{|z| : z \in \sigma_\epsilon(a)\}.$$

THEOREM

Let A be a Banach algebra and $a \in A$. Then

$$r(a) \leq r_\epsilon(a) \leq \frac{1 + \epsilon}{1 - \epsilon} \|a\|$$

CONDITION SPECTRUM OF AN OPERATOR

NUMERICAL RANGE

Let $T : \mathcal{H} \rightarrow \mathcal{H}$ be a bounded linear operator on a Hilbert space \mathcal{H} . Then, the numerical range of T , denoted by $W(T)$, is defined by,

$$W(T) := \{ \langle Tx, x \rangle : x \in \mathcal{H}, \|x\| = 1 \}.$$

THEOREM (NUMERICAL RANGE)

$$\sigma_\epsilon(a) \subseteq W(T) + B \left(0, \frac{2\epsilon}{1-\epsilon} \|T\| \right)$$

CONDITION SPECTRUM OF AN OPERATOR

ESTIMATION

THEOREM

Let $T : \mathcal{H} \rightarrow \mathcal{H}$ be a bounded linear operator on a Hilbert space \mathcal{H} and $\lambda \in \sigma_\epsilon(T)$.

① If T is a *normal* then

$$d(\lambda, \sigma(T)) \leq \frac{2\epsilon}{1-\epsilon} \|T\|.$$

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③ If T is a *self-adjoint* then

$$|\operatorname{Im}(\lambda)| \leq \frac{2\epsilon}{1-\epsilon} \|T\|.$$

CONDITION SPECTRUM OF AN OPERATOR



EQUIVALENT CONDITION

Let \mathcal{H} be finite dimensional Hilbert space.

THEOREM

Let M be a matrix of order $n \times n$. Then the following sets are equal.

- 1 $\sigma_\epsilon(M) = \{z \in \mathbb{C} : \|z - M\| \|(z - M)^{-1}\| \geq \epsilon^{-1}\}$
- 2 $B = \{z \in \mathbb{C} : \exists u \in \mathbb{C}^n \text{ with } \|u\| = 1 \text{ s.t. } \|(z - M)u\| \leq \epsilon \|(z - M)\|\}$
- 3 $C = \{z \in \mathbb{C} : z \in \sigma(M + E) \text{ for some } E \text{ with } \|E\| \leq \epsilon \|(z - M)\|\}$

-  Mark Embree and Lloyd N. Trefethen, *Generalizing eigenvalue theorems to pseudospectra theorems*, SIAM J. Sci. Comput. **23** (2001), no. 2, 583–590 (electronic). MR MR1861266 (2002k:15019)
-  T. J. Ransford, *Generalised spectra and analytic multivalued functions*, J. London Math. Soc. (2) **29** (1984), no. 2, 306–322. MR MR744102 (85f:46091)

Thank you