Characteristic center of a tree

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K. L. Patra (NISER)

Central parts of trees

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- Let G = (V, E) be a graph with vertex set $V = \{v_1, v_2, \dots, v_n\}$ and edge set E.
- The adjacency matrix of G, denoted by A(G), is defined as $A(G) = [a_{ij}]_{n \times n}$, where

$$a_{ij} = \begin{cases} 1, & \text{if } v_i \text{ and } v_j \text{ are adjacent in } G, \\ 0, & \text{otherwise.} \end{cases}$$

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• The Laplacian matrix of G is defined as L(G) = D(G) - A(G)where D(G) is the diagonal degree matrix of G.

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- L(G) is symmetric and positive semi-definite.

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• $S(G) = (\lambda_1(G), \lambda_2(G), \dots, \lambda_n(G))$ is the Laplacian spectrum, where $0 \le \lambda_1(G) \le \lambda_2(G) \le \dots \le \lambda_n(G)$ are the eigenvalues of L(G).

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- **Corollary** Let G be a graph with $V(G) = \{v_1, v_2, \dots, v_n\}$. The number of spanning trees of G equals $\frac{\lambda_2 \lambda_3 \dots \lambda_n}{n}$.

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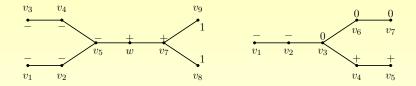
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- Let Y be a Fiedler vector. By Y(v), we mean the co-ordinate of Y corresponding to the vertex v.

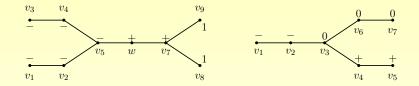
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• Let T be a tree with vertex set V.

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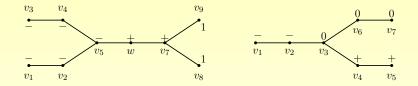
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• $\mu(T) \leftrightarrow Y$ denotes **Fiedler Vector** and $Y \perp e$.

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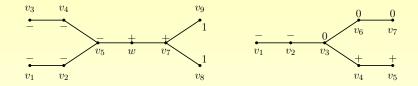
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- Characteristic vertex: $v \in V$, if Y(v) = 0 and there exists w adjacent to v such that $Y(w) \neq 0$.

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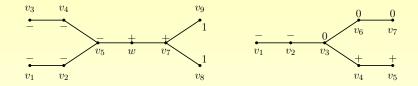
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- Characteristic vertex: $v \in V$, if Y(v) = 0 and there exists w adjacent to v such that $Y(w) \neq 0$.
- Characteristic edge: $e = \{u, v\} \in E$, if Y(u)Y(v) < 0.
- Characteristic set: Collection of characteristic edges and characteristic vertices and is denoted by C(T, Y).

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Proposition[Fiedler, 1975]: Let T be tree on n vertices and let Y be a Fiedler vector of T. Then one of the following holds:

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No entry of Y is zero. In this case, there is a unique pair of vertices u and v such that u and v are adjacent in T with Y(u) > 0 and Y(v) < 0. Further the entries of Y increases along any path in T which starts at u and does not contain v while the entries of Y decreases along any path in T which starts at v and does not contain u.</p>

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Proposition[Fiedler, 1975]: Let T be tree on n vertices and let Y be a Fiedler vector of T. Then one of the following holds:

- No entry of Y is zero. In this case, there is a unique pair of vertices u and v such that u and v are adjacent in T with Y(u) > 0 and Y(v) < 0. Further the entries of Y increases along any path in T which starts at u and does not contain v while the entries of Y decreases along any path in T which starts at v and does not contain u.</p>
- Some entries of Y are zero. The subgraph of T induced by the set of vertices corresponding to zero's in Y is connected. Moreover, there is a unique vertex u such that Y(u) = 0 and u is adjacent to a vertex v with Y(v) ≠ 0. The entries of Y are either increasing, decreasing or identically zero along any path in T which starts at u.

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• **Theorem**[Fiedler,1975]: For a tree T, |C(T, Y)| = 1 and is fixed for any Fiedler vector Y.

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• **Theorem**[Fiedler,1975]: For a tree T, |C(T, Y)| = 1 and is fixed for any Fiedler vector Y.

Type-I tree ↔ tree with a characteistic vertex
 Type-II tree ↔ tree with a characteistic edge

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• Characteristic center $\longleftrightarrow \chi(T)$

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A real matrix A is called **positive** if all its entries are positive. It is called **non-negative** if all its entries are non-negative. Similarly, we can define a positive and non-negative vector.

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A square matrix A of order $n \ge 2$, is called **reducible** if there is a permutation matrix P such that $P^tAP = \begin{pmatrix} B & C \\ \mathbf{0} & D \end{pmatrix}$, where B and D are square submatrices. Otherwise A is called **irreducible**.

Perron-Frobenius Theorem: An irreducible non-negative matrix A has a real positive simple eigenvalue r such that $r \ge |\lambda|$ for any eigenvalue λ of A. Furthermore, there is a positive eigenvector corresponding to r. Also if \mathbf{u} is an eigenvector of A with positive entries then \mathbf{u} is the eigenvector corresponding to the eigenvalue r mentioned above.

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Corollary: Let A be an irreducible non-negative matrix and B be a principal submtrix of A. Then the largest eigenvalue of A is strictly larger than the largest eigenvalue of B.

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Corollary: Let G be a connected graph. Then the smallest eigenvalue of L(G) is simple and there is a positive eigenvector associated with it. Furthermore, if M is a principal submatrix of L(G) then the smallest eigenvalue of L(G) is strictly smaller than the smallest eigenvalue of M.

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For non-negative square matrix A and B(not necessarily same order), the notation $A \ll B$ is used to mean that there exist a permutation matrix P such that P^tAP is entry wise dominated by a pricipal submatrix of B, with strict inequality in atleast one position in case A and B have the same order.

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Corollary: Let A and B be two non-negative square matrices. If B is irreducible and $A \ll B$ then the largest eigenvalue of A is strictly less that the largest eigenvalue of B.

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M-matrix: A square matrix with all its off-diagonal entries are nonpositive and all its eigenvalues have nonnegative real part.

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M-matrix: A square matrix with all its off-diagonal entries are nonpositive and all its eigenvalues have nonnegative real part.

M-matrices are closed under the extraction of principal submatrices and the inverse of an irreducible nonsingular M-matrix has positive entries.

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Let v be a vertex of a tree T. Let T_1, T_2, \dots, T_k be the connected components of T - v. For each such component, let $\hat{L}(T_i), i = 1, 2, \dots, k$ denote the principal submatrix of the Laplacian matrix L corresponding to the vertices of T_i . Then $\hat{L}(T_i)$ is invertible and $\hat{L}(T_i)^{-1}$ is a positive matrix which is called the bottleneck matrix for T_i .

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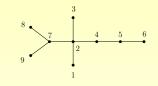
By Perron -Frobenius Theorem, $\hat{L}(T_i)^{-1}$ has a simple dominant eigenvalue, called Perron value of T_i at v. The component T_j is called a Perron component at v if its Perron value is maximal among T_1, T_2, \dots, T_k , the components at v.

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Proposition[Kirkland, Neumann and Shader(1996)]: Let T be a tree and v be any vertex of T. Let T_1 be a connected component of T - v. Then $\hat{L}(T_1)^{-1} = [m_{ij}]$, where m_{ij} is the number of edges in common between the path P_{iv} joining the vertex i and v and the path P_{jv} joining the vertex j and v.



Let C_1 be the connected component of T - 2 containing the vertex 6. Then

$$\widehat{L}(C_1) = \begin{bmatrix} 2 & -1 & 0 \\ -1 & 2 & -1 \\ 0 & -1 & 1 \end{bmatrix} \widehat{L}(C_1)^{-1} = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 2 & 2 \\ 1 & 2 & 3 \end{bmatrix}.$$

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Theorem[Kirkland, Neumann and Shader(1996)]: Let T be a tree on n vertices. Then the edge $\{i, j\}$ is the characteristic edge of T if and only if the component T_i at vertex j containing the vertex i is the unique Perron component at j while the component T_j at vertex i containing the vertex j is the unique Perron component at i. Moreover in this case there exists a $\gamma \in (0, 1)$ such that

$$\frac{1}{\mu(T)} = \rho(\widehat{L}(C_i)^{-1} - \gamma J) = \rho(\widehat{L}(C_j)^{-1} - (1 - \gamma)J).$$

Furthermore, any eigenvector Y of L(T) corresponding to $\mu(T)$ acn be permuted and partitioned into block form $Y^t = [Y_1^t| - Y_2^t]$, where Y_1 is a Perron vector for $\rho(\widehat{L}(C_i)^{-1} - \gamma J)$ and Y_2 is a Perron vector for $\rho(\widehat{L}(C_j)^{-1} - (1 - \gamma)J)$. Here J is the all one matrix and ρ stands for spectral radius.

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Theorem[Kirkland, Neumann and Shader(1996)]: Let T be a tree on n vertices. Then the vertex v is the characteristic vertex of T if and only if there are two or more Perron components of T at v. Moreover in this case,

$$\mu(T)=\frac{1}{\rho(L_v^{-1})},$$

where L_v is a perron component at v. Furthermore, given any two Perron components C_1 , C_2 of T at v, an eigenvector Y corresponding to $\mu(T)$ can be choosen so that Y can be permutated and partitioned into block form $Y^t = [Y_1^t| - Y_2^t|\mathbf{0}^t]$. where Y_1 and Y_2 are Perron vectors for the bottleneck matrices $\widehat{L}(C_1)^{-1}$ and $\widehat{L}(C_2)^{-1}$, respectively and $\mathbf{0}$ is the column vector of an appropriate order.

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Theorem[Kirkland, Neumann and Shader(1996)]: Let T be a tree. Then for any vertex v that is neither a characteristic vertex nor an end vertex of the characteristic edge, the unique Perron component at vcontains the characteristic set of T.

K. L. Patra (NISER)

• T(V, E): A tree with vertex set V and edge set E

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- T(V, E): A tree with vertex set V and edge set E
- For u, v ∈ V, the length of the u-v path is the number of edges in the path from u to v and distance between u and v, denoted by d_T(u, v) = d(u, v), is the length of the u-v path. We set d(u, u) = 0.

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- For $v \in V$, the eccentricity e(v) of v is defined by $e(v) = \max\{d(u, v) : u \in V\}.$

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- The radius rad(T) of T is defined by $rad(T) = min\{e(v) : v \in V\}$.

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- The radius rad(T) of T is defined by $rad(T) = min\{e(v) : v \in V\}$.
- The diameter diam(T) of T is defined by diam(T) = max{e(v) : v ∈ V}.

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K. L. Patra (NISER)

A vertex v ∈ V is a central vertex of T if e(v) = rad(T). The center of T, denoted by C = C(T), is the set of all central vertices of T.

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The center is located by a simple recursive procedue.

For any tree T, C(T) is same as the center of any u - v path in T of length diam(T).

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- A vertex $v \in V$ is a **centroid vertex** of T if $\omega(v) = \min_{u \in V} \omega(u)$.
- The **centroid** of T, denoted by $C_d = C_d(T)$, is the set of all centroid vertices of T.

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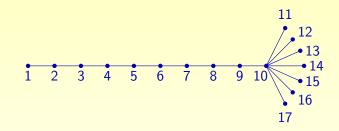
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- **Theorem (Jordan, 1869):** The centroid of a tree consists of either one vertex or two adjacent vertices.
- For a tree T on n vertices, if |C_d(T)| = 2 and C_d(T) = {u, v}, then n must be even and ω(u) = ω(v) = n/2.

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- **Theorem (Jordan, 1869):** The centroid of a tree consists of either one vertex or two adjacent vertices.
- For a tree T on n vertices, if |C_d(T)| = 2 and C_d(T) = {u, v}, then n must be even and ω(u) = ω(v) = n/2.
- If n ≥ 3, then neither the center nor the centroid of T contain pendant vertices.

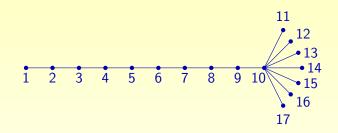
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K. L. Patra (NISER)

Central parts of trees

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For the above tree T, the vertex 6 is the center as its eccentricity is 5, less than any other vertex. The vertex 9 is the centroid as it has weight 8, less than any other vertex.

Also $\mu(T) = .0483$ and Y =

 $(-0.4116, -0.3917, -0.3528, -0.2970, -0.2267, -0.1455, -0.0573, 0.0337, 0.1231, 0.2065, 0.2170, 0.2170, 0.2170, 0.2170, 0.2170, 0.2170, 0.2170)^t$ is a Fiedler vector. So $\chi(T) = \{7, 8\}$, which is disjoint from each of the center, centroid and subtree core.

K. L. Patra (NISER)

For a given tree T, we denote by $d_T(C, C_d) = \min\{d(u, v) | u \in C \text{ and } v \in C_d\}$ the distance between the center and the centroid of T.

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Problems:

• $\delta_n(C, C_d) = \max\{d_T(C, C_d) : T \text{ is a tree on } n \text{ vertices}\} = ?$

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Problems:

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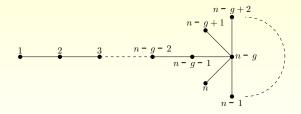
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- $\delta_n(C_d, \chi) = \max\{d_T(C_d, \chi) : T \text{ is a tree on } n \text{ vertices}\} = ?$

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Let $P_{n-g,g}$, $n \ge 5$, $2 \le g \le n-3$, denote the tree on *n* vertices which is obtained from the path P_{n-g} by adding *g* pendant vertices to the vertex n-g. Such a tree $P_{n-g,g}$ is called a **path-star tree**.



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Theorem[-, 2007]: Among all trees on $n \ge 5$ vertices, the distance between the center and the characteristic center is maximized by a path-star tree $P_{n-g,g}$, for some positive integer g. **Proof:**

Case 1: Characteristic center lies in one of the longest path

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Case 2: Characteristic center does not lie in any of the longest path

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Theorem[-, 2007]: Let $P_{n-g,g}$ be a path-star tree. Then the characteristic center of $P_{n-g,g}$ lies in the path from $C(P_{n-g,g})$ to $C_d(P_{n-g,g})$.

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The position of the center of $P_{n-g,g}$ can also be expressed in terms of n-g. The following result is straight-forward.

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The position of the center of $P_{n-g,g}$ can also be expressed in terms of n-g. The following result is straight-forward.

Lemma: The center of the path-star tree $P_{n-g,g}$ is given by

$$C(P_{n-g,g}) = \begin{cases} \left\{\frac{n-g+2}{2}\right\}, & \text{if } n-g \text{ is even,} \\ \left\{\frac{n-g+1}{2}, \frac{n-g+3}{2}\right\}, & \text{if } n-g \text{ is odd.} \end{cases}$$

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Lemma: The centroid of the path-star tree $P_{n-g,g}$ is given by

$$C_d(P_{n-g,g}) = \begin{cases} \{\frac{n+1}{2}\}, & \text{if } g \leq \frac{n-1}{2} \\ \{n-g\}, & \text{if } g > \frac{n-1}{2} \end{cases}, & \text{if } n \text{ is odd,} \\ \begin{cases} \frac{n}{2}, \frac{n}{2} + 1 \}, & \text{if } g \leq \frac{n}{2} - 1 \\ \{n-g\}, & \text{if } g > \frac{n}{2} - 1 \end{cases}, & \text{if } n \text{ is even.} \end{cases}$$

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Theorem[-, 2007]: Among all trees on $n \ge 5$ vertices, the distance between the center and the centroid is maximized by $P_{n-\lfloor \frac{n}{2} \rfloor, \lfloor \frac{n}{2} \rfloor}$. Futhermore,

$$d_{\mathcal{P}_{n-\lfloor \frac{n}{2} \rfloor, \lfloor \frac{n}{2} \rfloor}}(C, C_d) = \left\lfloor \frac{n-3}{4} \right\rfloor$$

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$$d_{P_{n-\lfloor \frac{n}{2} \rfloor, \lfloor \frac{n}{2} \rfloor}}(C, C_d) = \left\lfloor \frac{n-3}{4} \right\rfloor$$

Corollary: $\lim_{n\to\infty} \frac{\delta_n(C,C_d)}{n} = \frac{1}{4}$.

Theorem[Kirkland et al.,2017]: Let z be the unique root of the equation tan(z) + z = 0 that lies in the interval $(\frac{\pi}{2}, \pi]$. Then

$$\lim_{n\to\infty}\frac{\delta_n(C_d,\chi)}{n}=\frac{1}{2}-\frac{\pi}{4z}$$

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Theorem[Kirkland et al.,2017]:

$$\lim_{n\to\infty}\frac{\delta_n(C,\chi)}{n}=\frac{c_0\pi}{4}\left(c_0\pi-\sqrt{c_0^2\pi^2-4(1-c_0)}\right)-\frac{1-c_0}{2},$$

where $c_0 \in \left(\frac{2\sqrt{\pi^2+1}}{\pi^2}-1,1\right)$ is the unique solution of $w(c) = \frac{\pi}{2(1-r)}$, r is a function of c, $r \in (c, \frac{c+1}{2})$, $c \in (0,1)$.

K. L. Patra (NISER)

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Conjecture: For $n \ge 5$ and $2 \le g \le n-3$, the path star-tree is a Type-II tree.

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THANK YOU

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