

Inclusive Semileptonic Heavy Baryon Decays: New Results and Perspectives

Pietro Colangelo INFN - Bari - Italy

Anomalies 2021

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Fulvia De Fazio, Francesco Loparco, PC Inclusive Semileptonic Λ_b Decays in the Standard Model and Beyond JHEP 11 (2020) 032 , arXiv:2006.13759

Emerging anomalies in the flavour sector



Emerging anomalies in the flavour sector

New Physics revealed in low-energy experiments through

- deviations of SM allowed processes with respect to the predictions
- 2) observations of processes forbidden (or heavily suppressed) in SM
- collected hints of LFU violation ---> relations between 1) and 2)
- coherent pattern of deviations seems to be emerging the solution of old problems could be related to the other tensions example: IV_{cb} I_{incl} vs IV_{cb} I_{excl} might be related to the observed anomalies in b → c semileptonic modes F. De Fazio, PC PRD 95 (17) 011701

what about $|V_{ub}|_{incl} vs |V_{ub}|_{excl}$?

- tree level processes R(D^(*)) & co
- o loop-induced processes $R(K^{(*)})$, P'_5 , ...
- $\circ \quad V_{cb}, \, V_{ub}, \, \epsilon' / \epsilon, \, (g \text{--} 2)_{\mu} \dots$
- o LFV processes $\tau \rightarrow 3\mu, \mu \rightarrow e \gamma \dots$

SM extension

- BSM at a high scale $\Lambda >> M_W$
- BSM gauge group $G \supset SU(3)_C \times SU(2)_L \times U(1)_Y$
- \implies SM effective theory at the scale M_W

SM extension BSM at a high scale $\Lambda >> M_W$ • BSM gauge group $G \supset SU(3)_C \times SU(2)_L \times U(1)_Y$ • SM effective theory at the scale M_W \implies credits for the picture: Aebischer Crivellin Fael Greub JHEP05 (2016) 037 $\mathcal{L}_{NP} = ? \qquad \xrightarrow{\text{matching}} \qquad \mathcal{L}_{SM}^{(4)} + \frac{1}{\Lambda} \tilde{C}_{\nu\nu}^{(5)} Q_{\nu\nu}^{(5)} + \frac{1}{\Lambda^2} \sum_k \tilde{C}_k^{(6)} Q_k^{(6)}$ Δ RGE $\xrightarrow{\text{matching}} \mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} \sum_i C_i \mathcal{O}_i$ $\mathcal{L}_{SM}^{(4)} + \frac{1}{\Lambda} \tilde{C}_{\nu\nu}^{(5)} Q_{\nu\nu}^{(5)} + \frac{1}{\Lambda^2} \sum_{k} \tilde{C}_{k}^{(6)} Q_{k}^{(6)}$ μ_W RGE $\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}}\sum_i C_i \mathcal{O}_i$ μ_b Energy scale

- coefficients in the low-energy $\mathsf{H}_{\mathsf{eff}}$ related to the high-scale ones
- coefficients in different processes related
- basis of effective operators, ordered by dimension, made of SM fields

Buchmuller and Wyler, NPB 268 (1986) 621 Grzadkowski et al., JHEP 10 (2010)⁵085

SM extension

- BSM at a high scale $\Lambda >> M_W$
- BSM gauge group $G \supset SU(3)_C \times SU(2)_L \times U(1)_Y$
- \Rightarrow SM effective theory at the scale M_W



exclusive $b \rightarrow c, u$ modes

- hadronic uncertainties
- $b \rightarrow c$ form factor parametrization BGL vs CLN proposed to reconcile $|V_{cb}|_{incl}$ and $|V_{cb}|_{excl}$
- what about $|V_{ub}|$?

inclusive $b \rightarrow c, u$ modes: theoretically clean

- systematic expansion in $1/m_{\rm Q}$ and α_s
- role of the shape function
- identification of the observables

extension of the SM effective Hamiltonian with D=6 operators and LH neutrinos

U=c,u

$$\begin{split} H_{\text{eff}}^{b \to U\ell\nu} &= \frac{G_F}{\sqrt{2}} V_{Ub} \left[\left(1 + \epsilon_V^\ell \right) \left(\bar{U} \gamma_\mu (1 - \gamma_5) b \right) \left(\bar{\ell} \gamma^\mu (1 - \gamma_5) \nu_\ell \right) \right. \\ &+ \epsilon_S^\ell \left(\bar{U} b \right) \left(\bar{\ell} (1 - \gamma_5) \nu_\ell \right) + \epsilon_P^\ell \left(\bar{U} \gamma_5 b \right) \left(\bar{\ell} (1 - \gamma_5) \nu_\ell \right) \\ &+ \epsilon_T^\ell \left(\bar{U} \sigma_{\mu\nu} (1 - \gamma_5) b \right) \left(\bar{\ell} \sigma^{\mu\nu} (1 - \gamma_5) \nu_\ell \right) \\ &+ \epsilon_R^\ell \left(\bar{U} \gamma_\mu (1 + \gamma_5) b \right) \left(\bar{\ell} \gamma^\mu (1 - \gamma_5) \nu_\ell \right) \right] + h.c. \; . \end{split}$$

Buchmuller and Wyler, NPB 268 (1986) 621 Grzadkowski et al., JHEP 10 (2010)[®]085

Semileptonic b decays

extension of the SM effective Hamiltonian with D=6 operators and LH neutrinos

Buchmuller and Wyler, NPB 268 (1986) 621 Grzadkowski et al., JHEP 10 (2010)⁹085

Beauty baryon inclusive decays

- Inclusive modes: optical theorem heavy quark expansion (HQE)

J. Chay, H. Georgi and B. Grinstein, PLB 247 (1990) 399 I.I.Y. Bigi, M.A. Shifman, N.G. Uraltsev and A.I. Vainshtein, PRL 71 (1993) 496

- Observables as a double expansion in powers of 1/m_Q and α_s/π b \rightarrow u: F. De Fazio, M. Neubert, hep-ph/9905351
- Clean correlations between meson (B, B_s) and baryon (Λ_{b} , Ξ_{b} , Ω_{b}) observables



heavy quark expansion

$$S_U(p_X) = S_U^{(0)} - S_U^{(0)}(i \not D) S_U^{(0)} + S_U^{(0)}(i \not D) S_U^{(0)}(i \not D) S_U^{(0)} + \dots$$

$$\frac{1}{m_b v / -q / -m_U}$$

$$\begin{aligned} \frac{1}{\pi} \mathrm{Im}(T^{ij})_{MN} &= \frac{1}{\pi} \mathrm{Im} \frac{1}{\Delta_0} \langle H_b(v,s) | \bar{b}_v[\Gamma_M^{(i)\dagger} \mathcal{P} \Gamma_N^{(j)}] b_v | H_b(v,s) \rangle \\ &- \frac{1}{\pi} \mathrm{Im} \frac{1}{\Delta_0^2} \langle H_b(v,s) | \bar{b}_v[\Gamma_M^{(i)\dagger} \mathcal{P} \gamma^{\mu_1} \mathcal{P} \Gamma_N^{(j)}] (iD_{\mu_1}) b_v | H_b(v,s) \rangle \\ &+ \frac{1}{\pi} \mathrm{Im} \frac{1}{\Delta_0^3} \langle H_b(v,s) | \bar{b}_v[\Gamma_M^{(i)\dagger} \mathcal{P} \gamma^{\mu_1} \mathcal{P} \gamma^{\mu_2} \mathcal{P} \Gamma_N^{(j)}] (iD_{\mu_1}) (iD_{\mu_2}) b_v | H_b(v,s) \rangle \\ &- \frac{1}{\pi} \mathrm{Im} \frac{1}{\Delta_0^4} \langle H_b(v,s) | \bar{b}_v[\Gamma_M^{(i)\dagger} \mathcal{P} \gamma^{\mu_1} \mathcal{P} \gamma^{\mu_2} \mathcal{P} \gamma^{\mu_3} \mathcal{P} \Gamma_N^{(j)}] (iD_{\mu_1}) (iD_{\mu_2}) (iD_{\mu_3}) b_v | H_b(v,s) \rangle . \end{aligned}$$



hadronic matrix elements of operators with increasing number of derivatives

$$\mathcal{M}_{\mu_1\dots\mu_n} = \langle H_b(v,s) | (\bar{b}_v)_a(iD_{\mu_1})\dots(iD_{\mu_n})(b_v)_b | H_b(v,s) \rangle$$

non perturbative low-energy parameters

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$$\mathcal{O}\left(\frac{1}{m_b^n}\right) \dots \begin{cases} \mathcal{O}\left(\frac{1}{m_b^3}\right) \\ 2M_H \hat{\rho}_D^3 = \langle H_b | \overline{b}_v \ iD^\mu \ iD_\mu \ b_v | H_b \rangle \\ 2M_H \hat{\rho}_G^3 = \langle H_b | \overline{b}_v \ iD^\mu \ (iv \cdot D) \ iD_\mu \ b_v | H_b \rangle \\ 2M_H \hat{\rho}_{LS}^3 = \langle H_b | \overline{b}_v \ (-i\sigma_{\mu\nu}) \ iD^\mu \ (iv \cdot D) \ iD^\nu \ b_v | H_b \rangle \\ \dots \end{cases}$$

$$\mathcal{O}\left(\frac{1}{m_b^n}\right) \dots \begin{cases} \mathcal{O}\left(\frac{1}{m_b^3}\right) \begin{cases} \mathcal{O}\left(\frac{1}{m_b^2}\right) \begin{cases} -2M_H \hat{\mu}_{\pi}^2 \\ 2M_H \mu_G^2 \end{cases} \langle H_b | \overline{b}_v \ iD^{\mu} \ iD_{\mu} \ b_v | H_b \rangle \\ 2M_H \hat{\rho}_D^3 = \langle H_b | \overline{b}_v \ iD^{\mu} \ (iv \cdot D) \ iD_{\mu} \ b_v | H_b \rangle \\ 2M_H \hat{\rho}_{LS}^3 = \langle H_b | \overline{b}_v \ (-i\sigma_{\mu\nu}) \ iD^{\mu} \ (iv \cdot D) \ iD^{\nu} \ b_v | H_b \rangle \\ \dots \end{cases}$$

$\hat{\mu}_{\pi}^2$ matrix element of the kinetic energy operator

different for different hadrons (B vs $\Lambda_{\rm b}$)

$$\mu_{\pi}^{2}(B) - \mu_{\pi}^{2}(\Lambda_{b}) = \frac{2m_{b}m_{c}}{m_{b} - m_{c}} \left[(m_{\Lambda_{b}} - m_{\Lambda_{c}}) - (\overline{m}_{B} - \overline{m}_{D}) \right] \left(1 + \mathcal{O}(1/m_{b,c}^{2}) \right)$$
$$\hat{\mu}_{\pi}^{2}(\Lambda_{b}) = (0.50 \pm 0.1) \,\text{GeV}^{2}$$

Dominguez Nardulli Paver PC PRD54 (96) 4622

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$$\mathcal{O}\left(\frac{1}{m_b^n}\right) \dots \begin{cases} \mathcal{O}\left(\frac{1}{m_b^3}\right) \begin{cases} \mathcal{O}\left(\frac{1}{m_b^2}\right) \begin{cases} -2M_H \hat{\rho}_c^2 = \langle H_b | \overline{b}_v i D^\mu i D_\mu b_v | H_b \rangle \\ 2M_H \hat{\mu}_G^2 = \langle H_b | \overline{b}_v (-i\sigma_{\mu\nu}) i D^\mu i D^\nu b_v | H_b \rangle \\ 2M_H \hat{\rho}_D^3 = \langle H_b | \overline{b}_v i D^\mu (iv \cdot D) i D_\mu b_v | H_b \rangle \\ 2M_H \hat{\rho}_{LS}^3 = \langle H_b | \overline{b}_v (-i\sigma_{\mu\nu}) i D^\mu (iv \cdot D) i D^\nu b_v | H_b \rangle \\ \dots \end{cases}$$

$\hat{\mu}_G^2$ matrix element of the chromomagnetic operator

depends on the spin of the hadron - determined from the mass spectrum

$$\hat{\mu}_G^2(\Lambda_b) = 0$$

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$$\mathcal{O}\left(\frac{1}{m_b^n}\right) \dots \begin{cases} \mathcal{O}\left(\frac{1}{m_b^2}\right) \begin{cases} \mathcal{O}\left(\frac{1}{m_b^2}\right) \begin{cases} -2 M_H \hat{\mu}_{\pi}^2 = \langle H_b | \overline{b}_v i D^{\mu} i D_{\mu} b_v | H_b \rangle \\ 2 M_H \hat{\mu}_G^2 = \langle H_b | \overline{b}_v (-i\sigma_{\mu\nu}) i D^{\mu} i D^{\nu} b_v | H_b \rangle \\ 2 M_H \hat{\rho}_D^3 = \langle H_b | \overline{b}_v i D^{\mu} (iv \cdot D) i D_{\mu} b_v | H_b \rangle \\ 2 M_H \hat{\rho}_{LS}^3 \neq \langle H_b | \overline{b}_v (-i\sigma_{\mu\nu}) i D^{\mu} (iv \cdot D) i D^{\nu} b_v | H_b \rangle \end{cases}$$



computation

$$\mathcal{M}_{\mu_1\dots\mu_n} = \langle H_b(v,s) | (\bar{b}_v)_a(iD_{\mu_1})\dots(iD_{\mu_n})(b_v)_b | H_b(v,s) \rangle$$

general parametrization including dependence on the spin up to $O(1/m_b^3)$ B mesons: B.M. Dassinger, T. Mannel and S. Turczyk, JHEP 03 (2007) 087

new terms depending on the spin for polarized baryon

O(1/m_b²): A.V. Manohar and M.B. Wise, PRD 49 (1994) 1310 S. Balk, J.G. Korner and D. Pirjol, EPJC 1 (1998) 221

$$\begin{split} \mathcal{M}^{\rho\sigma\lambda} &= M_{H} \left[\left(\frac{\hat{p}_{0}^{2}}{3} \prod^{\rho\lambda} v^{\sigma} \mathsf{P}_{+} + \frac{\hat{p}_{1}^{2}}{6} v^{\sigma} i \epsilon^{\rho\lambda\alpha\beta} v_{\alpha} \mathsf{S}_{\beta} \right) - \left(\frac{\hat{p}_{0}^{2}}{3} \prod^{\rho\lambda} v^{\sigma} s^{\mu} \mathsf{S}_{\mu} - \frac{\hat{p}_{1}^{2}}{2} v^{\sigma} i \epsilon^{\rho\lambda\alpha\beta} v_{\alpha} \mathsf{S}_{\beta} \mathsf{P}_{+} \right) \right] \\ \mathcal{M}^{\rho\sigma} &= M_{H} \left[\left(\frac{\hat{\mu}_{\pi}^{2}}{3} \prod^{\rho\sigma} \mathsf{P}_{+} + \frac{\hat{\mu}_{G}^{2}}{6} i \epsilon^{\rho\sigma\alpha\beta} v_{\alpha} \mathsf{S}_{\beta} + \\ &+ \frac{\hat{p}_{0}^{2} + \hat{p}_{1}^{2}}{24m_{b}} \left(4 \left(i \epsilon^{\rho\sigma\alpha\beta} v_{\alpha} \mathsf{S}_{\beta} - v^{\rho} v^{\sigma} \psi \right) + \\ &+ v^{\rho} \left(2\gamma^{\sigma} + \psi\gamma^{\sigma} - \gamma^{\sigma} \psi \right) + v^{\sigma} \left(2\gamma^{\rho} + \psi\gamma^{\rho} - \gamma^{\rho} \psi \right) \right) \right) + \\ &+ \left(- \frac{\hat{\mu}_{\pi}^{2}}{3} \prod^{\rho\sigma} \mathsf{P}_{+} \mathsf{s}_{\gamma} \mathsf{s}_{+} + \frac{\hat{\mu}_{G}^{2}}{2} i \epsilon^{\rho\sigma\alpha\beta} v_{\alpha} \mathsf{s}_{\beta} \mathsf{P}_{+} + \\ &+ \frac{\hat{p}_{0}^{2}}{12m_{b}} \left(6 i \epsilon^{\rho\sigma\alpha\beta} v_{\alpha} \mathsf{s}_{\beta} + i \left(v^{\rho} \epsilon^{\sigma\mu\alpha\beta} - v^{\sigma} \epsilon^{\rho\mu\alpha\beta} \right) v_{\alpha} \mathsf{s}_{\beta} \gamma_{\mu} + \\ &+ s^{\rho} v^{\sigma} \psi \gamma_{5} + v^{\rho} \mathsf{s}^{\sigma} \left(2\gamma_{5} + \psi\gamma_{5} \right) + \left(2v^{\rho} v^{\sigma} \psi - v^{\rho} \gamma^{\sigma} - v^{\sigma} \gamma^{\rho} \right) \mathsf{s}_{\gamma} \mathsf{s}_{)} \right) \right] \end{split}$$

F. De Fazio, F. Loparco, PC JHEP 11 (2020) 032

$$\begin{split} \mathcal{M}^{\rho} &= M_{H} \left[\left(\frac{\hat{\mu}_{\pi}^{2} - \hat{\mu}_{G}^{2}}{12m_{b}} \left(v^{\rho} \left(3 + 5 \psi \right) - 2\gamma^{\rho} \right) - \frac{\hat{\rho}_{D}^{3} + \hat{\rho}_{LS}^{2}}{12m_{b}^{2}} \left(4v^{\rho} \psi - \gamma^{\rho} \right) \right) + \\ &+ \left(- \frac{\hat{\mu}_{\pi}^{2}}{12m_{b}} \left(\left(v^{\rho} \left(3 + 5 \psi \right) - 2\gamma^{\rho} \right) \notin \gamma_{5} + 4s^{\rho} P_{+} \gamma_{5} \right) + \\ &+ \frac{\hat{\mu}_{G}^{2}}{4m_{b}} \left(\left(v^{\rho} \left(1 + 2\psi \right) - \gamma^{\rho} \right) \notin \gamma_{5} + s^{\rho} \gamma_{5} \right) + \\ &+ \frac{\hat{\rho}_{D}^{3}}{12m_{b}^{2}} \left(\left(v^{\rho} \left(1 + 4\psi \right) - 2\gamma^{\rho} \right) \notin \gamma_{5} + s^{\rho} \left(2 - \psi \right) \gamma_{5} \right) + \\ &+ \frac{\hat{\rho}_{LS}^{3}}{8m_{b}^{2}} \left(\left(3v^{\rho} \psi - \gamma^{\rho} \right) \notin \gamma_{5} + s^{\rho} \gamma_{5} \right) \right) \right] \end{split}$$

$$\mathcal{M} = M_{H} \left[\left(P_{+} - \frac{\hat{\mu}_{\pi}^{2} - \hat{\mu}_{G}^{2}}{4m_{b}^{2}} \right) + \left(P_{+} + \frac{\hat{\mu}_{\pi}^{2}}{24m_{b}^{2}} \left(7 + 5\psi \right) - \frac{\hat{\mu}_{G}^{2}}{8m_{b}^{2}} \left(3 + \psi \right) - \frac{\hat{\rho}_{D}^{3}}{6m_{b}^{3}} P_{-} \right) \notin \gamma_{5} \right] \end{split}$$

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Perturbative QCD corrections in SM:	
$O(\alpha_s/\pi)^3)$ for the the partonic width	Fael, Schonwald, Steinhauser, 2011.13654, 2005.06487, 2011.11655
$O(\alpha_s/\pi)$ for Γ_n :	Alberti Gambino Nandi 1311.7381 Mannel Pivovarov Rosenthal 1405.5072 Mannel Pivovarov 1907.09187 Capdevilla Gambino Nandi 2102.03343



NP benchmark points: $b \rightarrow u$ F. De Fazio, F. Loparco, PC, PRD 100 (19) 075037 $b \rightarrow c$ F. De Fazio, PC, JHEP 06 (18) 082, R.X. Shi, L.S. Geng, B. Grinstein, S. Jager, J.M. Camalich JHEP 12 (19) 065 22



NP benchmark points: $b \rightarrow u$ F. De Fazio, F. Loparco, PC, PRD 100 (19) 075037 $b \rightarrow c$ F. De Fazio, PC, JHEP 06 (18) 082, R.X. Shi, L.S. Geng, B. Grinstein, S. Jager, J.M. Camalich JHEP 12 (19) 065

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NP benchmark points: $b \rightarrow u$ F. De Fazio, F. Loparco, PC, PRD 100 (19) 075037 $b \rightarrow c$ F. De Fazio, PC, JHEP 06 (18) 082, R.X. Shi, L.S. Geng, B. Grinstein, S. Jager, J.M. Camalich JHEP 12 (19) 065 27

observables sensitive to Λ_b polarization and to BSM contributions longitudinal polarization expected for Λ_b from b quark produced in top or Z⁰ decays



Identification of observables sensitive to Λ_{b} polarization and to BSM effects (Longitudinal polarization expected for Λ_{b} resulting from b quark produced in top or Z⁰ decays)



observables sensitive to Λ_b polarization and to BSM contributions longitudinal polarization expected for Λ_b from b quark produced in top or Z⁰ decays



P. Colangelo, F. Loparco, FDF JHEP 11 (2020) 032

New clean observable but difficult to measure at LHC

0.24

NP

accessible using polarized Λ_b from Z decays at a lepton collider (FCC-e or muon)

0.23

0.25

Possible connection with the IV_{cb}l puzzle

Include the tensor operator in b $\rightarrow c \ell v_{\ell}$ Hamiltonian with lepton-flavour dependent coupling

 $\mathsf{B} \ \to \mathsf{X}_{\mathsf{c}} \ \ell \nu_\ell$

$$\Gamma = \Gamma_{SM} + \left| \varepsilon_T \right|^2 \Gamma_{NP} + \operatorname{Re}(\varepsilon_T) \Gamma_{INT}$$

parameter space $(\operatorname{Re}(\varepsilon_T^{\ell}), \operatorname{Im}(\varepsilon_T^{\ell}), |V_{cb}|)$ input (PDG) $B(B^+ \rightarrow X_c e^+ v_e) = (10.8 \pm 0.4) \times 10^{-2}$



De Fazio PC, PRD 95, 011701(R)

Possible connection with the IV_{cb}I puzzle



Possible connection with the IV_{cb}I puzzle



Possible connection with the $|V_{cb}|$ puzzle



Possible connection with the $|V_{cb}|$ puzzle



Possible connection with the IV_{cb}I puzzle



Possible connection with the IV_{cb}I puzzle



the symmetry axes of the two regions do not coincide in the case of μ

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Possible connection with the IV_{cb}l puzzle

$B \to D^{\star} \, \ell \nu_{\ell} + B \to X_c \, \ell \nu_{\ell}$





inner regions: inclusive outer regions: exclusive

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 $B(B^+ \to \overline{D}^{*0}e^+\nu_e) = (5.50 \pm 0.05 \pm 0.23) \times 10^{-2}$ $B(B^+ \to \overline{D}^{*0}\mu^+\nu_\mu) = (5.34 \pm 0.06 \pm 0.37) \times 10^{-2}$



e mode

Possible connection with the IV_{cb}I puzzle





e mode

Possible connection with the $|V_{cb}|$ puzzle



Possible connection with the $|V_{cb}|$ puzzle



Possible connection with the IV_{cb}I puzzle



Possible connection with the $|V_{cb}|$ puzzle



Possible connection with the IV_{cb}I puzzle



Possible connection with the $|V_{cb}|$ puzzle



Possible connection with the $|V_{cb}|$ puzzle



Conclusions

Inclusive heavy hadron decays

- important for $|V_{cb}|$ and $|V_{ub}|$ determinations
- testing ground for NP

Improvements

- new results for heavy baryons: hadronic matrix elements for a polarized baryon at $O(1/m_b^3)$ including all BSM D=6 operators
- fully differential distribution for non-vanishing m₁

- New clean observable testing LFU: ratio of the slope parameter in the cos (θ_P) distribution correlated with R(Λ_b) shows a pattern of deviation from SM Measurement accessible at the future lepton colliders (ILC, FCC-ee)

A precise determination of the nonperturbative parameters for heavy baryons is mandatory

 $\hat{\mu}_\pi^2 \qquad \hat{
ho}_D^3 \qquad \hat{
ho}_{LS}^3$

The possibility of a NP origin of the tensions in the CKM matrix elements, related to the R(D) anomalies, should not be discarded