

# Probing Lorentz Invariance Violation with Atmospheric Neutrinos at INO-ICAL

(India-based Neutrino Observatory)

Sadashiv Sahoo · Anomalies-2021, IIT Hyderabad · 10<sup>th</sup> November, 2021

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(India-based Neutrino Observatory)

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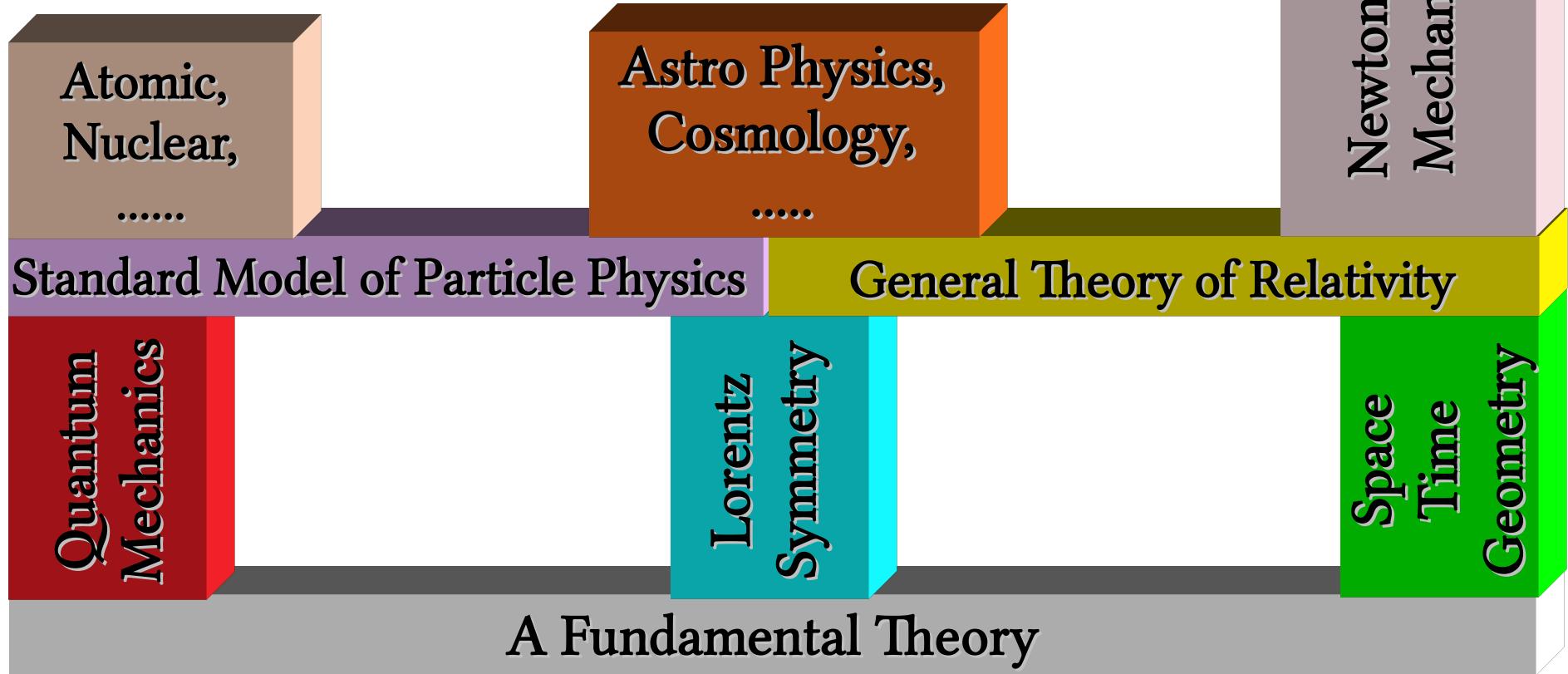
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Homi Bhabha National Institute, Mumbai

## **: Plan of Presentation :**

- Motivation to Lorentz Invariant Violation
- Brief Discussion on LIV studies using Atmospheric Neutrinos
- Exploring CPT-Violating LIV parameters at INO-ICAL
- Effects of non-zero CPT-Violating LIV parameters on M.O. determination
- Summary & Remark

# Motivation to Lorentz Invariant Violation



Original: M. Mathew

Atomic,  
Molecular,  
Nuclear

Astro Physics,  
Cosmology,

Newtonian  
Mechanics

Standard Model of Particle Physics

General Theory of Relativity

Quantum  
Mechanics

Broken  
Lorentz  
Symmetry

Space  
Time  
Geometry

A Fundamental Theory

Original: M. Mathew

# Lorentz Symmetry Breaking & Standard Model Extension

# Spontaneous Lorentz Symmetry Breaking

$$\Rightarrow \mathcal{L} \equiv \mathcal{L}_0 - \mathcal{L}'$$

# Spontaneous Lorentz Symmetry Breaking

$$\Rightarrow \mathcal{L} \equiv \boxed{\mathcal{L}_0} - \boxed{\mathcal{L}'}$$

The diagram illustrates the decomposition of the total Lagrangian  $\mathcal{L}$  into two parts:  $\mathcal{L}_0$  and  $\mathcal{L}'$ . The term  $\mathcal{L}_0$  is enclosed in a solid green box, while  $\mathcal{L}'$  is enclosed in a dashed green box. A horizontal line connects the bottom of the  $\mathcal{L}_0$  box to the top of the  $\mathcal{L}'$  box. A vertical dashed line extends downwards from the center of this horizontal line, connecting to a dashed rectangular box at the bottom.

Weak Interaction in SM

New Interaction induced due to LIV

# Spontaneous Lorentz Symmetry Breaking

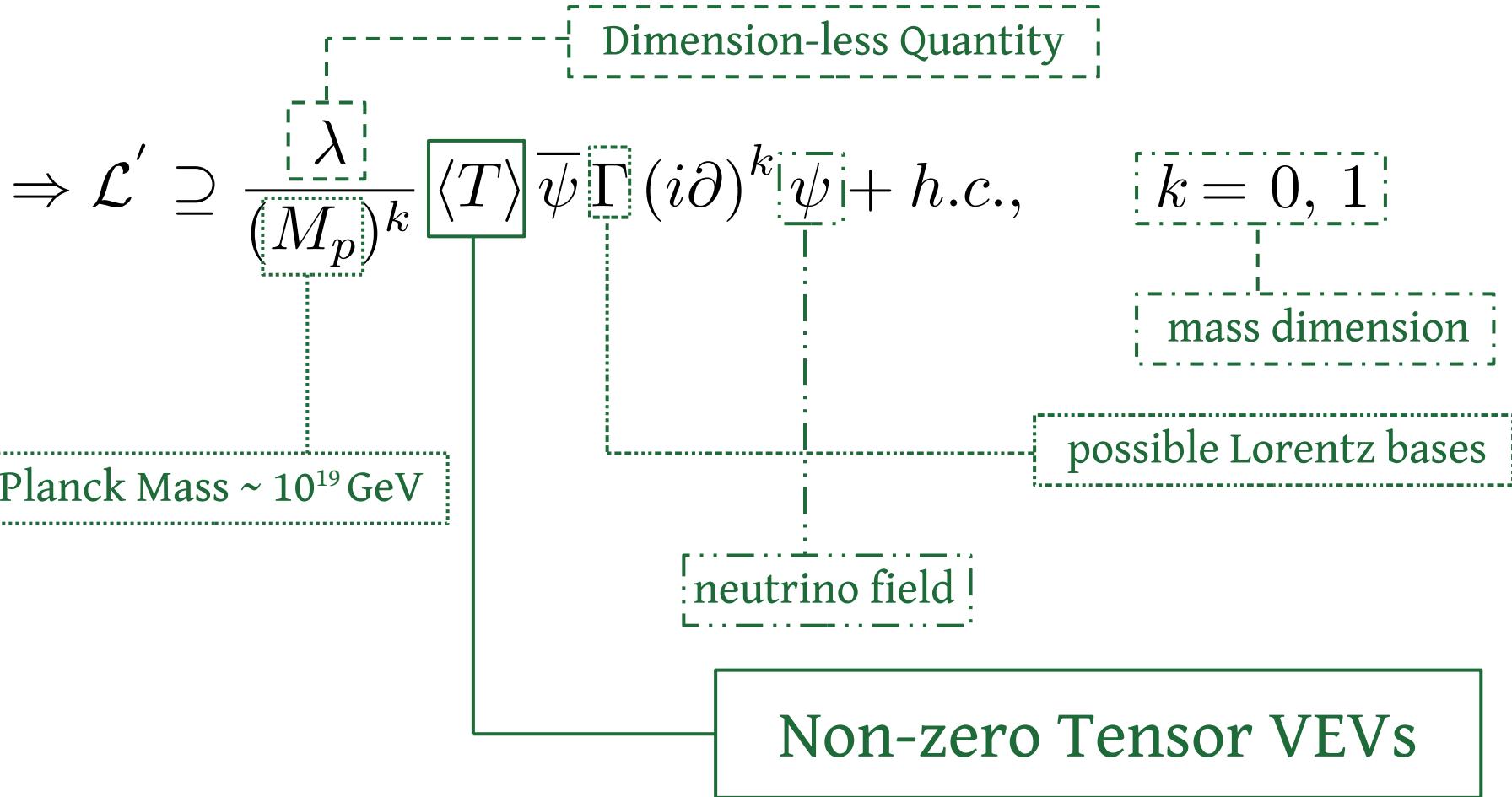
$$\Rightarrow \mathcal{L}' \supseteq \frac{\lambda}{(M_p)^k} \langle T \rangle \bar{\psi} \Gamma (i\partial)^k \psi + h.c., \quad k = 0, 1$$

CPT violation and the standard model

Don Colladay and V. Alan Kostelecký

Phys. Rev. D 55, 6760 – Published 1 June 1997

# Spontaneous Lorentz Symmetry Breaking



## Spontaneous Lorentz Symmetry Breaking

$$\Rightarrow \mathcal{L} \equiv \mathcal{L}_0 - \mathcal{L}'$$

$$\Rightarrow \mathcal{L}' \supseteq \frac{\lambda}{(M_p)^k} \langle T \rangle \bar{\psi} \Gamma (i\partial)^k \psi + h.c., \quad k = 0, 1$$

$k = 0, \langle T \rangle \sim \left(\frac{m^2}{M_p}\right)$ ; ( leads to CPT – violating LIV)

$k = 1, \langle T \rangle \sim m$ ; ( leads to CPT – conserving LIV)

$$\mathcal{L}' = \frac{1}{2} [a_\mu \bar{\psi} \gamma^\mu \psi + b_\mu \bar{\psi} \gamma_5 \gamma^\mu \psi - i c_{\mu\nu} \bar{\psi} \gamma^\mu \partial^\nu \psi - i d_{\mu\nu} \bar{\psi} \gamma_5 \gamma^\mu \partial^\nu \psi] + h.c.$$

# Spontaneous Lorentz Symmetry Breaking

$$\mathcal{L}' = \frac{1}{2} [a_\mu \bar{\psi} \gamma^\mu \psi + b_\mu \bar{\psi} \gamma_5 \gamma^\mu \psi - i c_{\mu\nu} \bar{\psi} \gamma^\mu \partial^\nu \psi - i d_{\mu\nu} \bar{\psi} \gamma_5 \gamma^\mu \partial^\nu \psi] + h.c.$$

Can't Measure Individually

Can't Measure Individually

$$a_L = a + b$$

$$a_R = a - b$$

$$a_R = -a_L$$

$$c_L = c + d$$

$$c_R = c - d$$

$$c_R = c_L$$

Interference Effect

## Hamiltonian in Standard Model Extension

$$H_{ij} = E\delta_{ij} + \frac{m_{ij}^2}{2E} + \frac{1}{E} (a_L^\mu p_\mu - c_L^{\mu\nu} p_\mu p_\nu)_{ij}$$

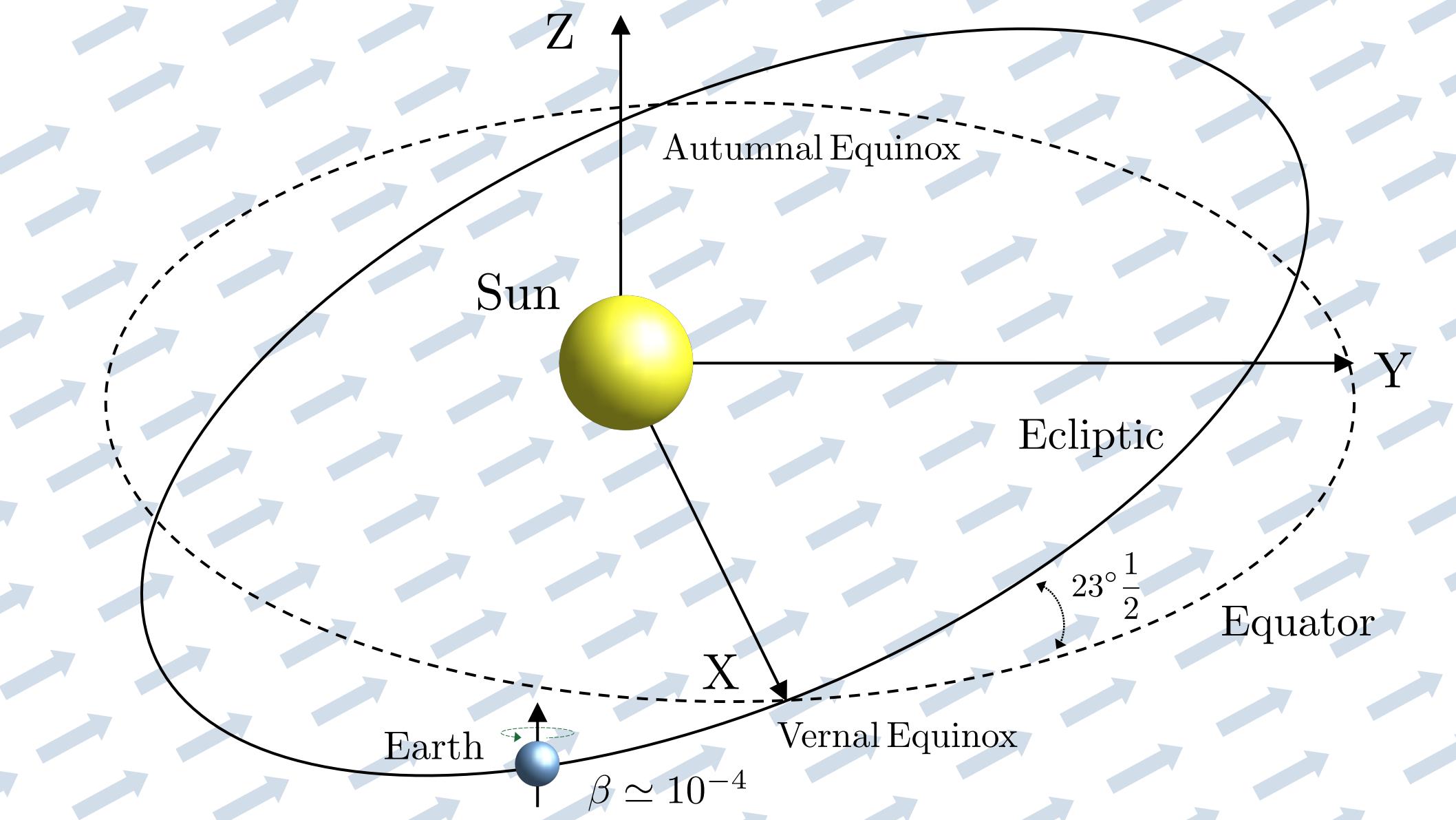
$$p \equiv (E, -E\hat{p})$$

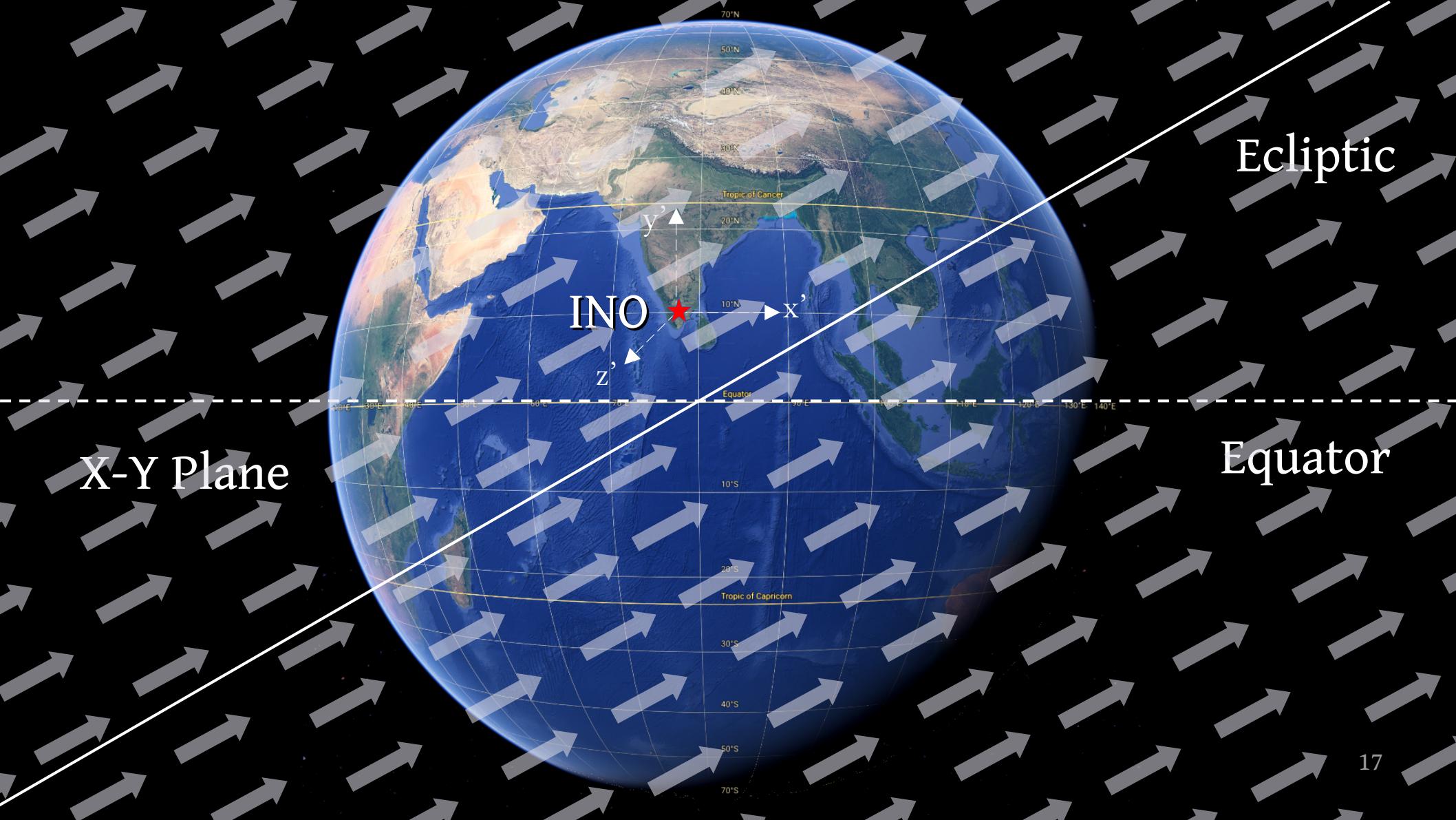
$i, j \rightarrow$  flavour indices

$\mu, \tau \rightarrow$  space time indices

$m_{ij}^2 \rightarrow$  mass squared splitting in flavour indices

# Choice of Inertial Frame of Reference





X-Y Plane

Ecliptic

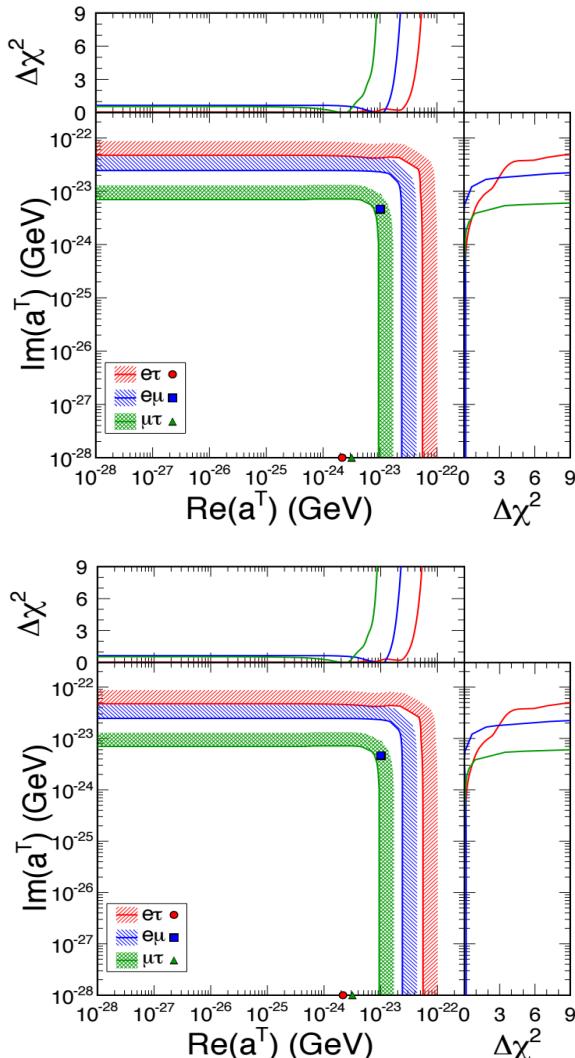
Equator

# Brief Discussion on LIV studies using Atmospheric Neutrinos

# Results From Super-K with Atmospheric Neutrino

$$H_{LIV} = \pm \begin{bmatrix} 0 & a_{e\mu} & a_{e\tau} \\ a_{e\mu}^* & 0 & a_{\mu\tau} \\ a_{e\tau}^* & a_{\mu\tau}^* & 0 \end{bmatrix} - \frac{4}{3} E \begin{bmatrix} 0 & c_{e\mu} & c_{e\tau} \\ c_{e\mu}^* & 0 & c_{\mu\tau} \\ c_{e\tau}^* & c_{\mu\tau}^* & 0 \end{bmatrix}$$

LV Parameter	Limit at 95% C.L.	Best Fit	No LV $\Delta\chi^2$	Previous Limit
$e\mu$	$\text{Re}(a^T)$ $1.8 \times 10^{-23}$ GeV	$1.0 \times 10^{-23}$ GeV	1.4	$4.2 \times 10^{-20}$ GeV [58]
	$\text{Im}(a^T)$ $1.8 \times 10^{-23}$ GeV	$4.6 \times 10^{-24}$ GeV		
	$\text{Re}(c^{TT})$ $8.0 \times 10^{-27}$	$1.0 \times 10^{-28}$		
	$\text{Im}(c^{TT})$ $8.0 \times 10^{-27}$	$1.0 \times 10^{-28}$		
$e\tau$	$\text{Re}(a^T)$ $4.1 \times 10^{-23}$ GeV	$2.2 \times 10^{-24}$ GeV	0.0	$7.8 \times 10^{-20}$ GeV [59]
	$\text{Im}(a^T)$ $2.8 \times 10^{-23}$ GeV	$1.0 \times 10^{-28}$ GeV		
	$\text{Re}(c^{TT})$ $9.3 \times 10^{-25}$	$1.0 \times 10^{-28}$		
	$\text{Im}(c^{TT})$ $1.0 \times 10^{-24}$	$3.5 \times 10^{-25}$		
$\mu\tau$	$\text{Re}(a^T)$ $6.5 \times 10^{-24}$ GeV	$3.2 \times 10^{-24}$ GeV	0.9 0.1	—
	$\text{Im}(a^T)$ $5.1 \times 10^{-24}$ GeV	$1.0 \times 10^{-28}$ GeV		
	$\text{Re}(c^{TT})$ $4.4 \times 10^{-27}$	$1.0 \times 10^{-28}$		
	$\text{Im}(c^{TT})$ $4.2 \times 10^{-27}$	$7.5 \times 10^{-28}$		



# Test of LIV with Atmospheric Neutrino @ IceCube

nature  
physics

ARTICLES

<https://doi.org/10.1038/s41567-018-0172-2>

## Neutrino interferometry for high-precision tests of Lorentz symmetry with IceCube

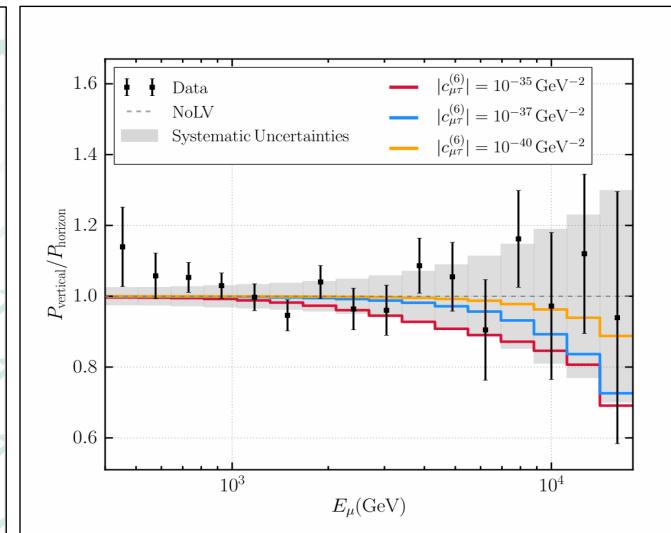
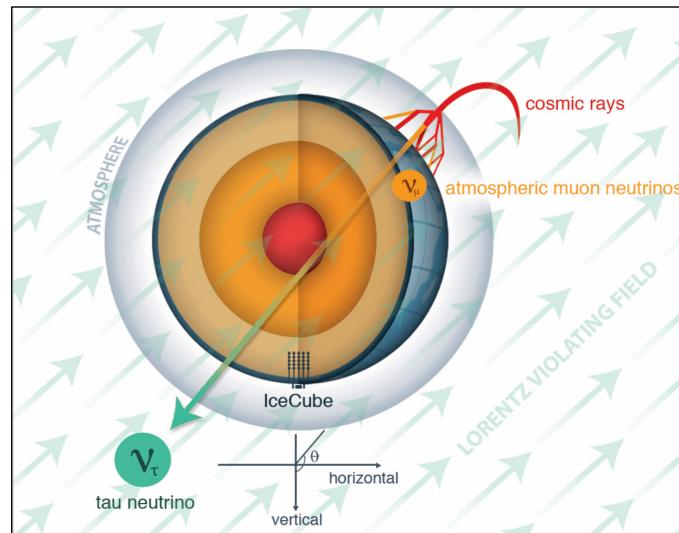
The IceCube Collaboration\*

Lorentz symmetry is a fundamental spacetime symmetry underlying both the standard model of particle physics and general relativity. This symmetry guarantees that physical phenomena are observed to be the same by all inertial observers. However, unified theories, such as string theory, allow for violation of this symmetry by inducing new spacetime structure at the quantum gravity scale. Thus, the discovery of Lorentz symmetry violation could be the first hint of these theories in nature. Here we report the results of the most precise test of spacetime symmetry in the neutrino sector to date. We use high-energy atmospheric neutrinos observed at the IceCube Neutrino Observatory to search for anomalous neutrino oscillations as signals of Lorentz violation. We find no evidence for such phenomena. This allows us to constrain the size of the dimension-four operator in the standard-model extension for Lorentz violation to the  $10^{-28}$  level and to set limits on higher-dimensional operators in this framework. These are among the most stringent limits on Lorentz violation set by any physical experiment.

Very small violations of Lorentz symmetry, or Lorentz violation (LV), are allowed in many ultrahigh-energy theories, including string theory, non-commutative field theory<sup>1</sup> and supersymmetry. The discovery of LV could be the first indication of such new physics. It provides the best opportunity to search for evidence of LV. The standard-model extension (SME) is an effective-field-theory framework to systematically study LV<sup>2</sup>. The SME includes all possible types of LV that respect other symmetries of the standard model such as energy-momentum conservation and coordinate independence. Thus, the SME can provide a framework to compare results of LV searches from many different fields such as photons<sup>3–5</sup>, nucleons<sup>6–11</sup>, charged leptons<sup>12–14</sup> and gravity<sup>15</sup>. So far, all searches have obtained null results. The full list of existing limits from all sectors and a brief overview of the field are available elsewhere<sup>16,17</sup>. Our focus here is to present the most precise test of LV in the neutrino sector.

The fact that neutrinos have mass has been established by a series of experiments<sup>18–24</sup>. The field has incorporated these results into the neutrino standard model (νSM)—the standard model with three massive neutrinos. Although the νSM parameters are not yet fully determined<sup>25</sup>, the model is rigorous enough to be brought to bear on the question of LV. In the Methods, we briefly review the history of neutrino oscillation physics and tests of LV with neutrinos.

To date, neutrino masses have proved to be too small to be measured kinematically, but the mass differences are known via neutrino oscillations. This phenomenon arises from the fact that production and detection of neutrinos involves the flavour states, while the propagation is given by the Hamiltonian eigenstates. Thus, a neutrino with flavor  $|\mu\rangle$  can be written as a superposition of Hamiltonian eigenstates  $|\mu_i\rangle$ ; that is,  $|\mu\rangle = \sum_{i=1}^3 V_{\alpha i}(E)|\mu_i\rangle$ , where  $V$  is the unitary matrix that diagonalizes the Hamiltonian and, in general, is a function of neutrino energy  $E$ . When the neutrino travels in vacuum without new physics, the Hamiltonian depends only on neutrino masses, and the Hamiltonian eigenstates coincide with the mass eigenstates.



\*A full list of authors and affiliations appears in the online version of this paper.

$$H \sim \frac{m^2}{2E} + \ddot{a}^{(3)} - E \cdot \dot{\bar{c}}^{(4)} + E^2 \cdot \ddot{\bar{a}}^{(5)} - E^3 \cdot \dot{\bar{c}}^{(6)} \dots$$

$$|\text{Re}(\ddot{\bar{a}}_{\mu\tau}^{(3)})|, |\text{Im}(\ddot{\bar{a}}_{\mu\tau}^{(3)})| < 2.9 \times 10^{-24} \text{ GeV (99% C.L.)}$$

$$< 2.0 \times 10^{-24} \text{ GeV (90% C.L.)}$$

# Exploring LIV at INO-ICAL

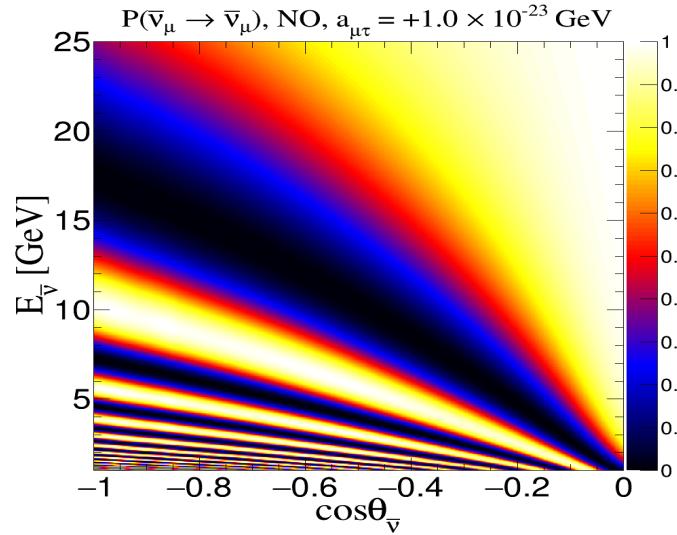
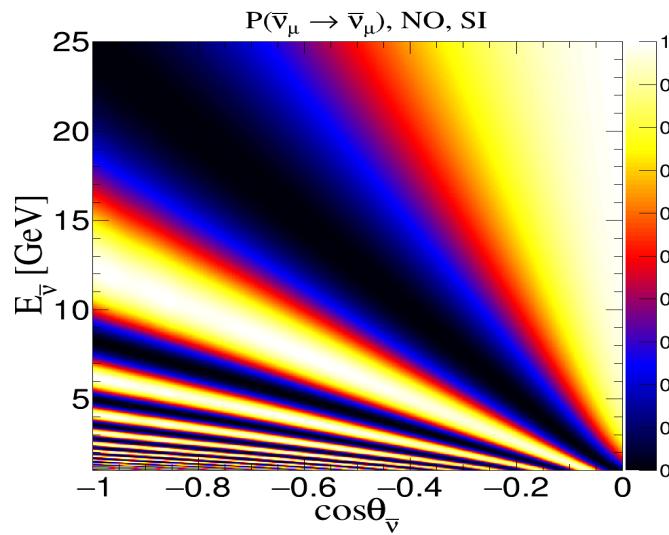
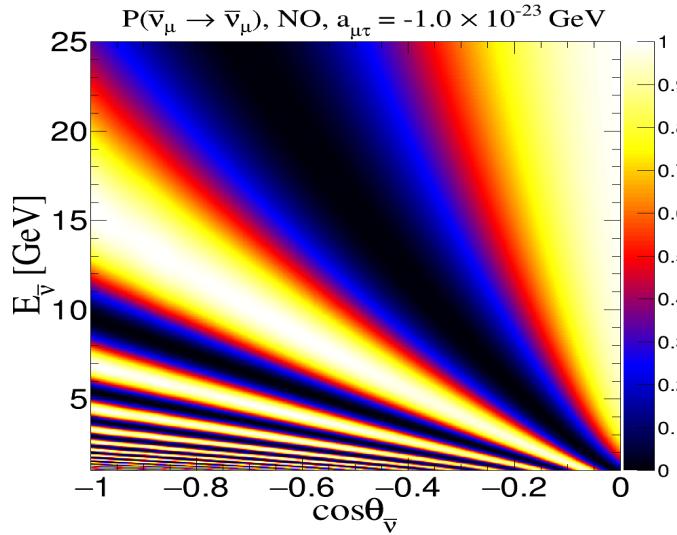
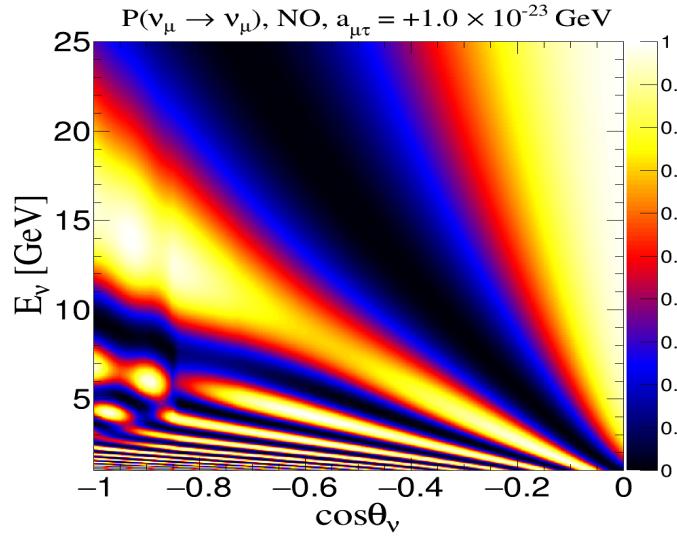
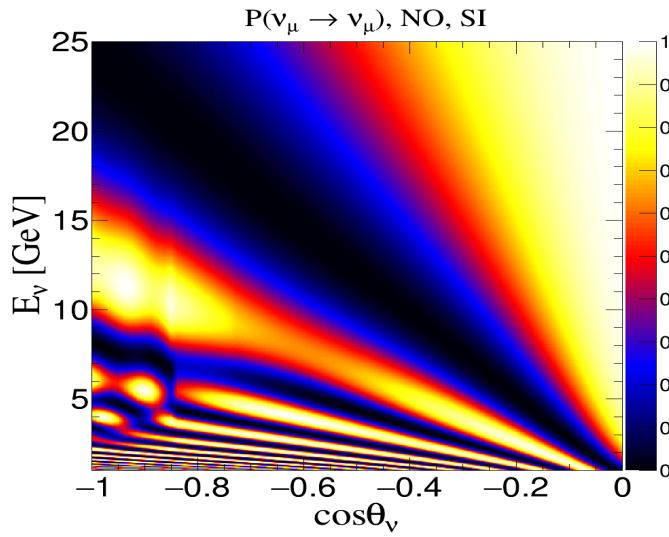
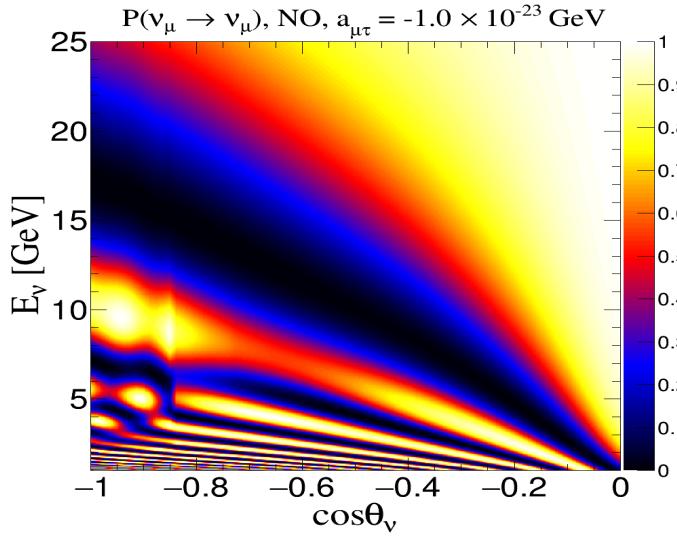
$$H = \frac{1}{2E} U \begin{bmatrix} 0 & 0 & 0 \\ 0 & \Delta m_{21}^2 & 0 \\ 0 & 0 & \Delta m_{31}^2 \end{bmatrix} U^\dagger \pm \begin{bmatrix} a_{ee} & a_{e\mu} & a_{e\tau} \\ a_{e\mu}^* & a_{\mu\mu} & a_{\mu\tau} \\ a_{e\tau}^* & a_{\mu\tau}^* & a_{\tau\tau} \end{bmatrix}_{00} \pm \sqrt{2} G_F \begin{bmatrix} N_e & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

- CPT-Violating parameter “a” with isotropic components
- “+” sign is assigned for neutrino and “-” sign for antineutrino
- $\sqrt{2}G_F N_e$  is standard matter interaction potential of neutrino and antineutrino

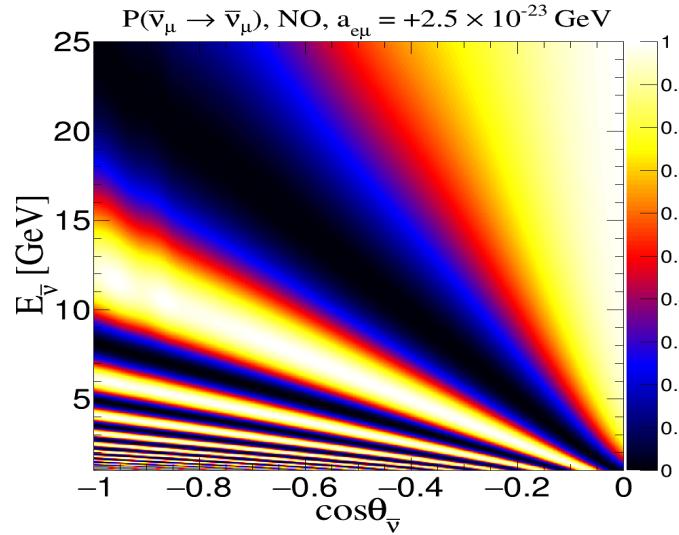
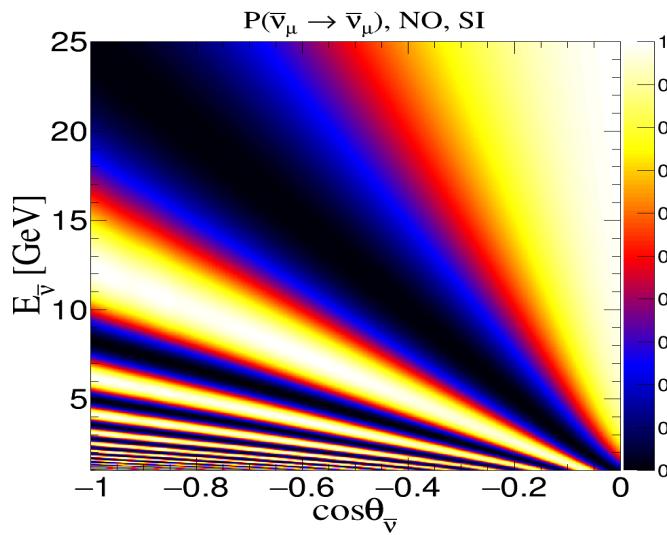
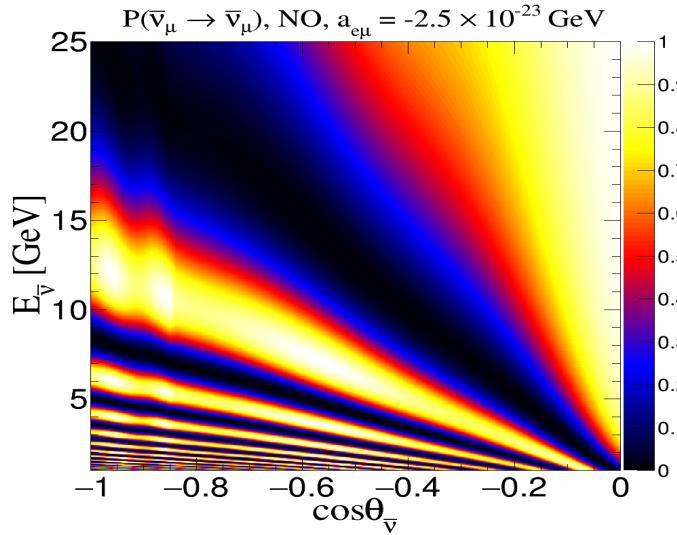
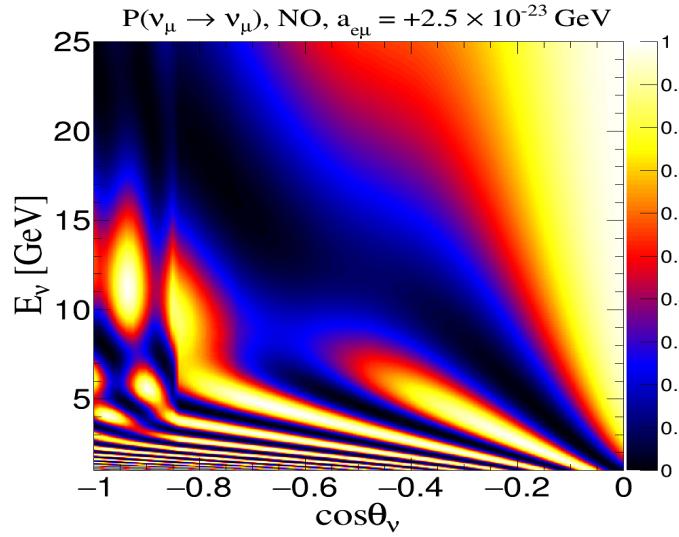
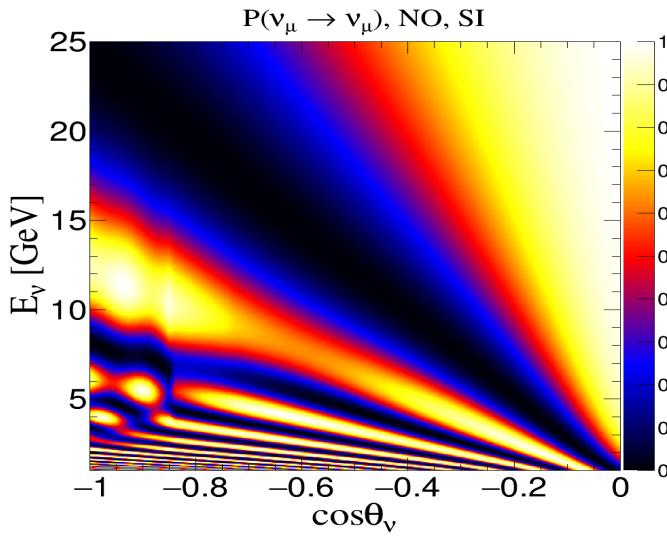
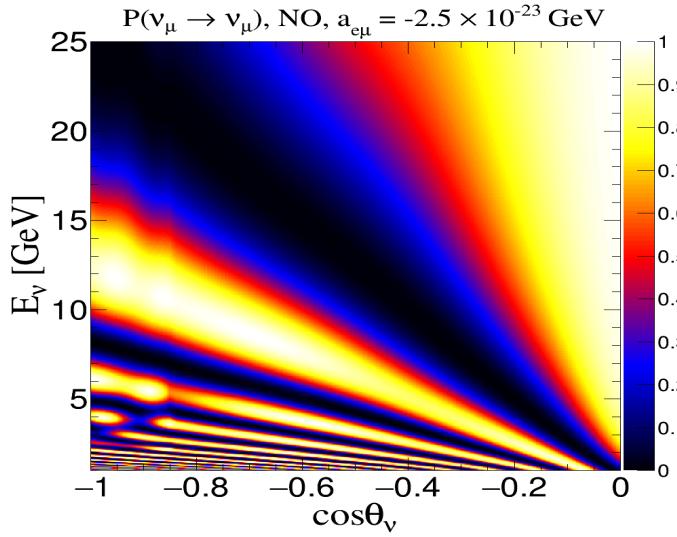
### Benchmark Oscillation Parameters

$\sin^2 2\theta_{12}$	$\sin^2 \theta_{23}$	$\sin^2 2\theta_{13}$	$\Delta m_{\text{eff}}^2$ (eV $^2$ )	$\Delta m_{21}^2$ (eV $^2$ )	$\delta_{\text{CP}}$	Mass Ordering
0.855	0.5	0.0875	$2.49 \times 10^{-3}$	$7.4 \times 10^{-5}$	0	Normal (NO)

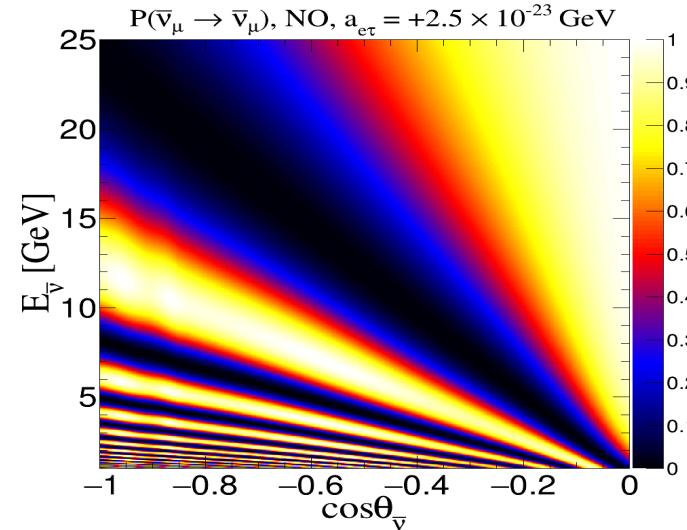
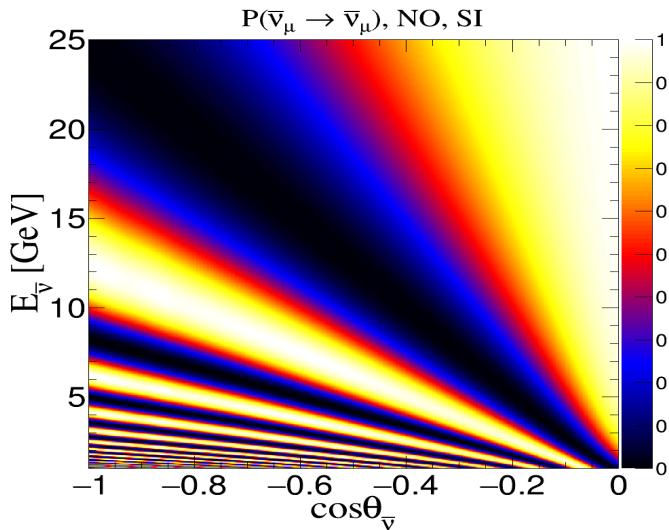
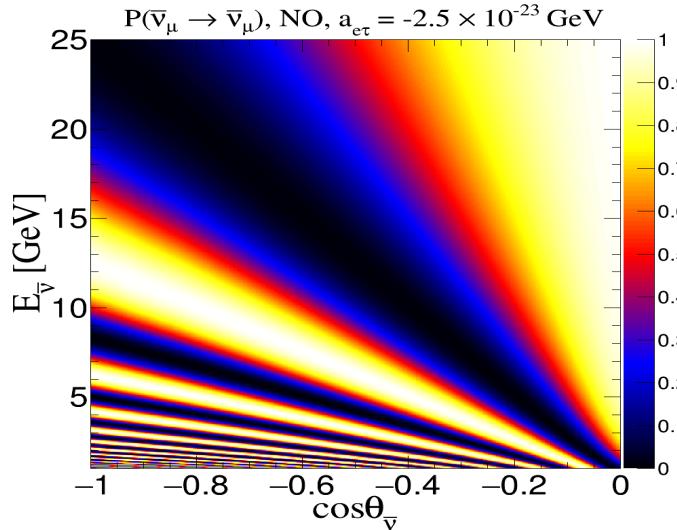
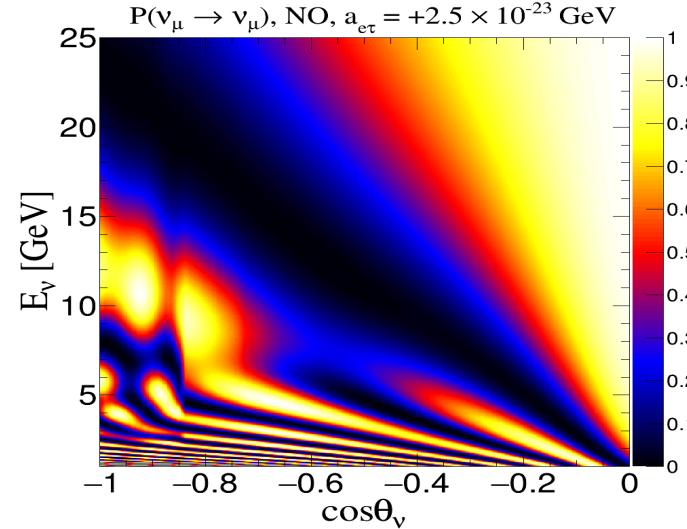
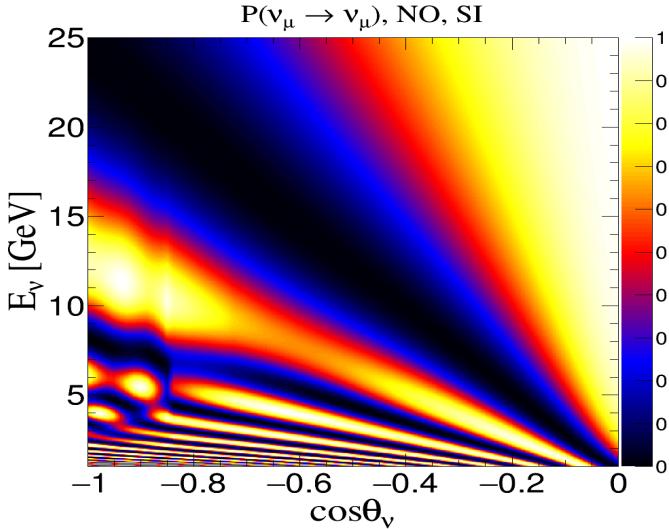
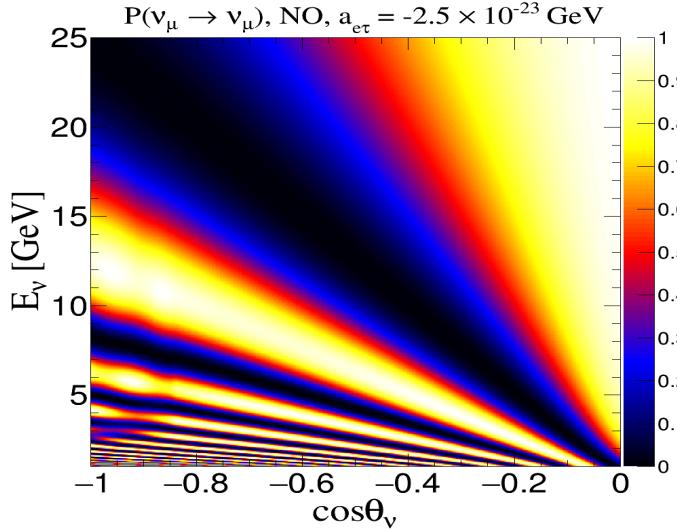
# Effect of $a_{\mu\tau} = \pm 1.0 \times 10^{-23}$ GeV on Muon Survival Channel



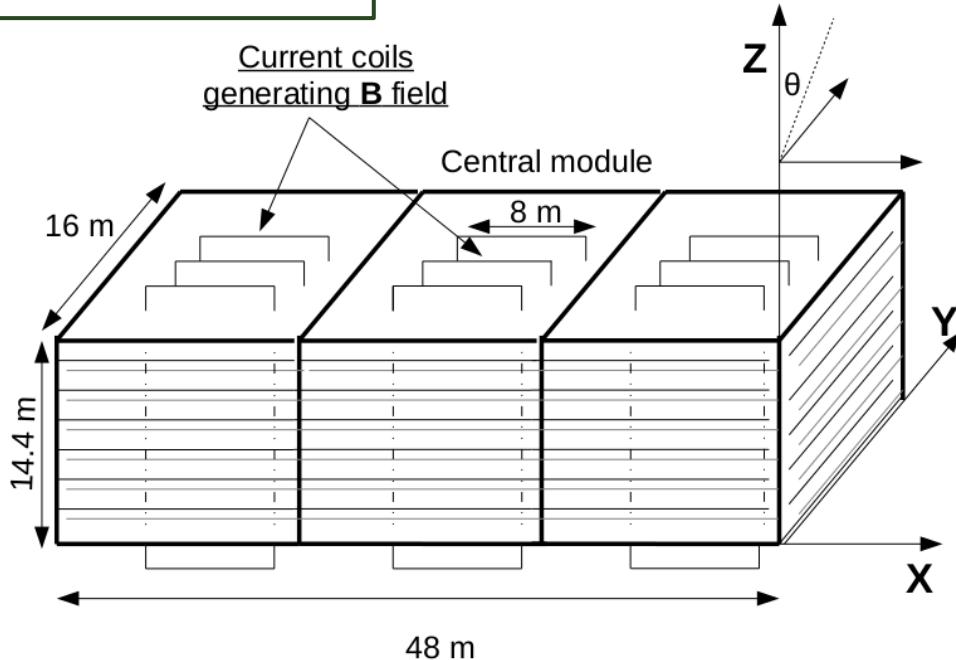
# Effect of $a_{e\mu} = \pm 2.5 \times 10^{-23}$ GeV on Muon Survival Channel



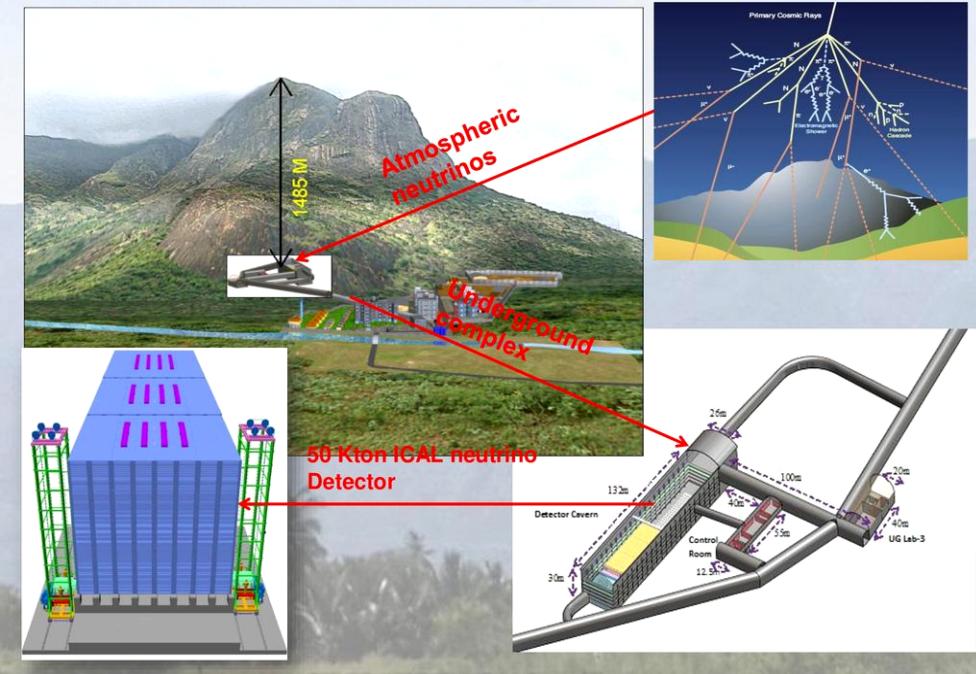
# Effect of $a_{e\tau} = \pm 2.5 \times 10^{-23}$ GeV on Muon Survival Channel



# INO-ICAL :



## INO-ICAL Experiment



- 50 kt Magnetized Iron Calorimeter (ICAL) of Field strength  $\sim 1.3$  Tesla, enables to distinguish atmospheric neutrino and antineutrino events, separately.
- It has  $\sim 10\%$  resolution of muon momentum ranging 1-25 GeV and  $\sim 1^\circ$  zenith angle resolution over 15-12800 km range of baselines

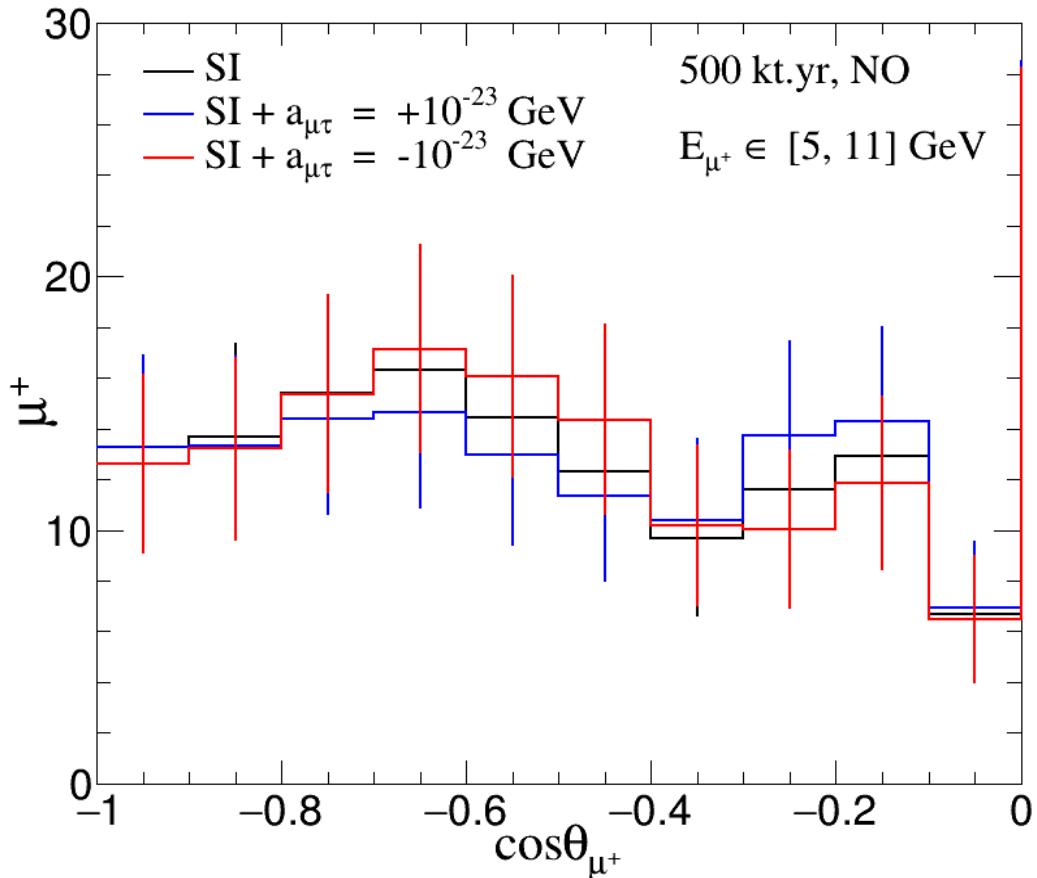
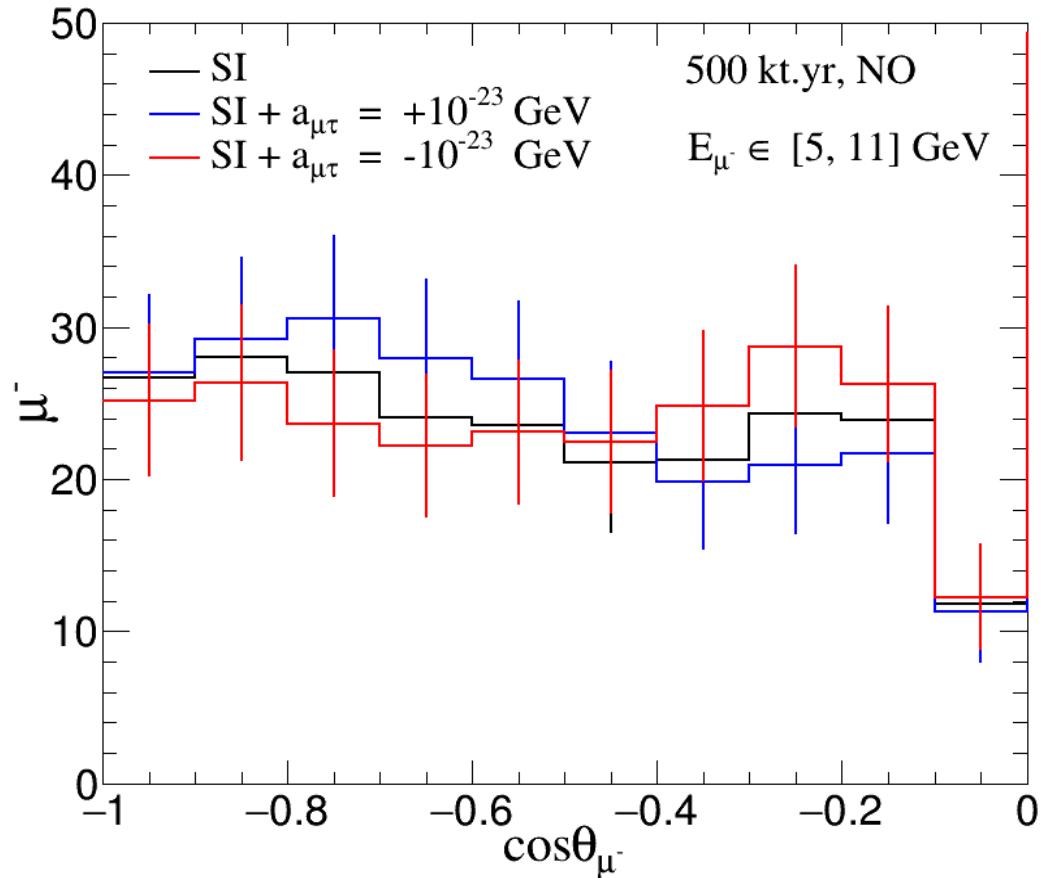
## INO-ICAL :

- NUANCE MC Generator using Neutrino Flux (Honda) at INO site
- Three-Flavour Oscillation Framework; PREM profile; 500 kt·yr (10 yr)
- Migration matrices from ICAL-Geant4 simulation [arXiv:1304.5115, 1405.7243]

Observable	Range	Bin width	Total bins
$E_\mu^{\text{rec}}$ (GeV)	[1, 11]	1	10
	[11, 21]	5	2
	[21, 25]	4	1
$\cos \theta_\mu^{\text{rec}}$	[-1.0, 0.0]	0.1	10
	[0.0, 1.0]	0.2	5
$E'_{\text{had}}^{\text{rec}}$ (GeV)	[0, 2]	1	2
	[2, 4]	2	1
	[4, 25]	21	1

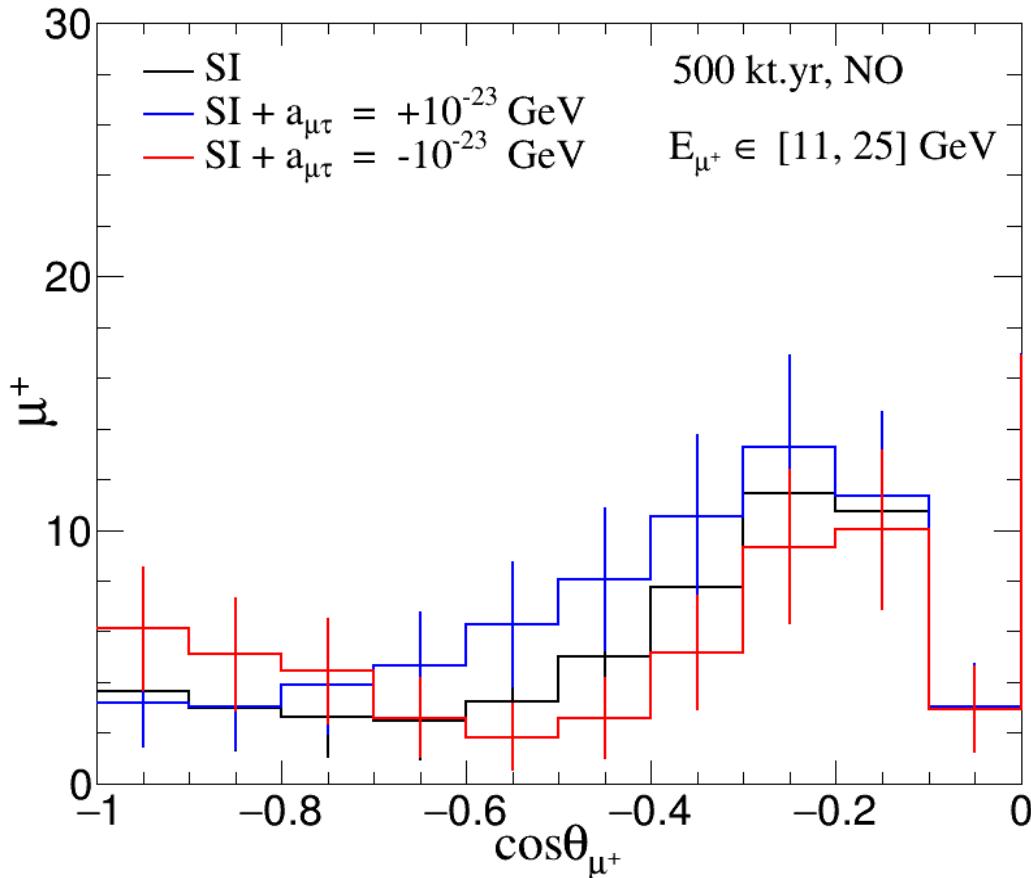
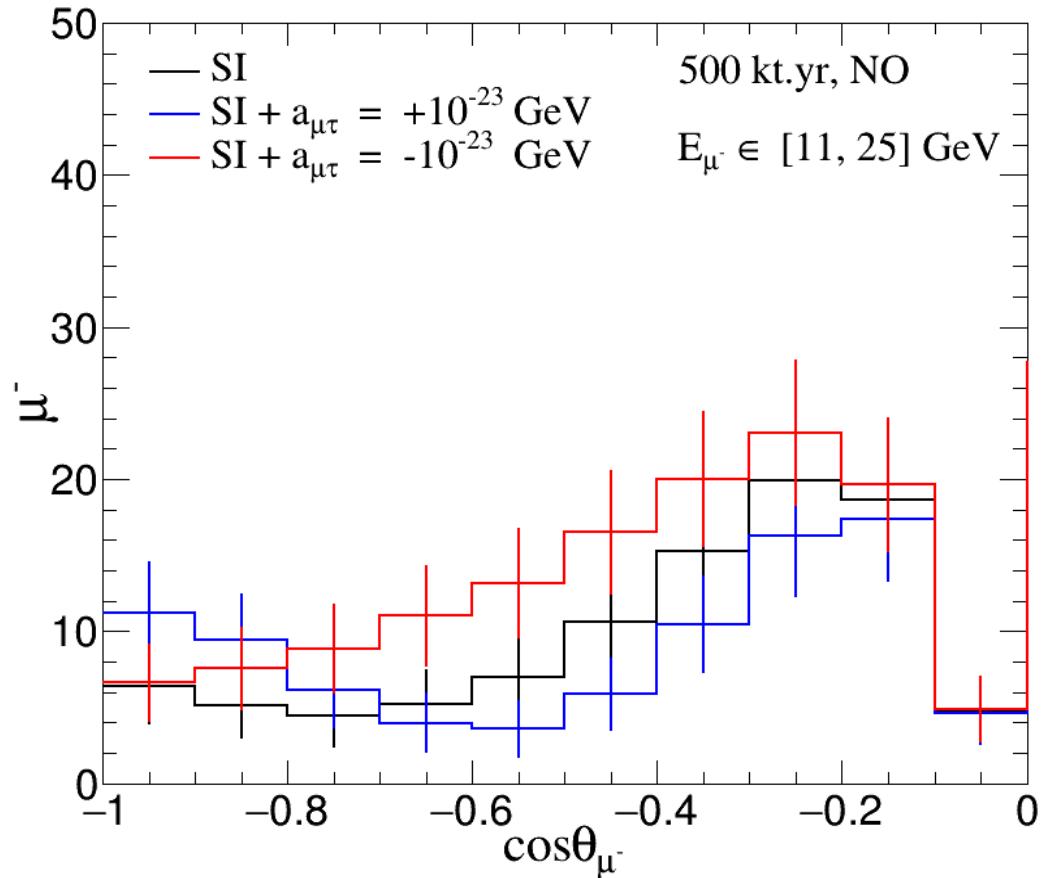
# Impact of non-zero $a_{\mu\tau}$ (1 d.o.f) on Events

# Impact of non-zero ( $a_{\mu\tau}$ ) on Event Distribution :



ICAL unique capability of Charged Identification (CID) helps to probe the properties of  $a_{\mu\tau}$  via observing  $\mu^-$  and  $\mu^+$  events separately

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ICAL unique capability of Charged Identification (CID) helps to probe the properties of  $a_{\mu\tau}$  via observing  $\mu^-$  and  $\mu^+$  events separately

# Method of $\chi^2$ Analysis:

$$\chi^2_- = \min_{\zeta_l} \sum_{i=1}^{N_{E_{\text{had}}}} \sum_{j=1}^{N_{E_\mu^-}} \sum_{k=1}^{N_{\cos \theta_\mu}} 2 \left[ N_{ijk}^{\text{theory}} - N_{ijk}^{\text{data}} - N_{ijk}^{\text{data}} \ln \left( \frac{N_{ijk}^{\text{theory}}}{N_{ijk}^{\text{data}}} \right) \right] + \sum_{l=1}^5 \zeta_l^2$$

$$\chi^2_+ = \min_{\zeta_l} \sum_{i=1}^{N_{E_{\text{had}}}} \sum_{j=1}^{N_{E_\mu^+}} \sum_{k=1}^{N_{\cos \theta_\mu}} 2 \left[ N_{ijk}^{\text{theory}} - N_{ijk}^{\text{data}} - N_{ijk}^{\text{data}} \ln \left( \frac{N_{ijk}^{\text{theory}}}{N_{ijk}^{\text{data}}} \right) \right] + \sum_{l=1}^5 \zeta_l^2$$

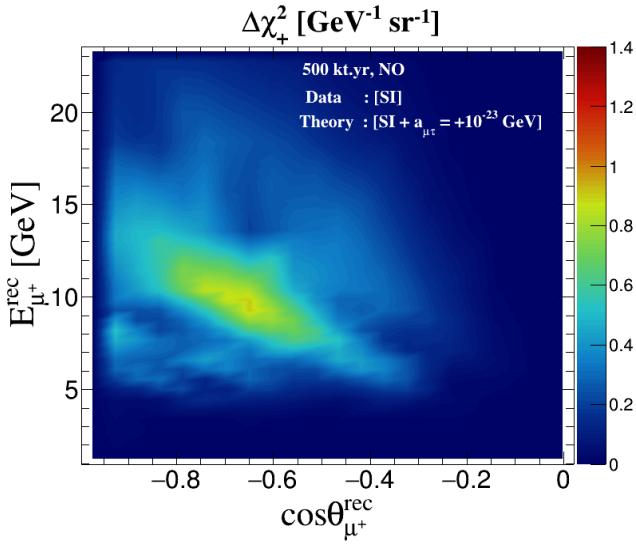
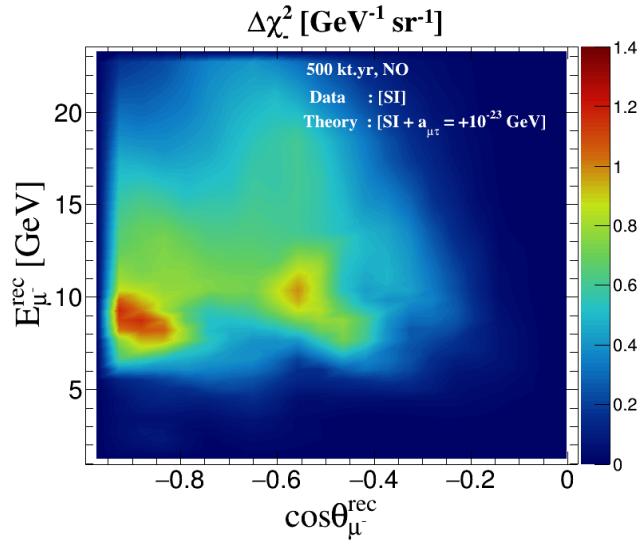
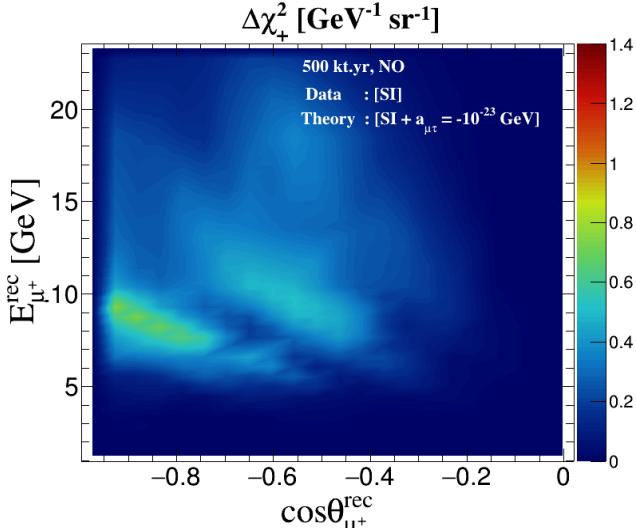
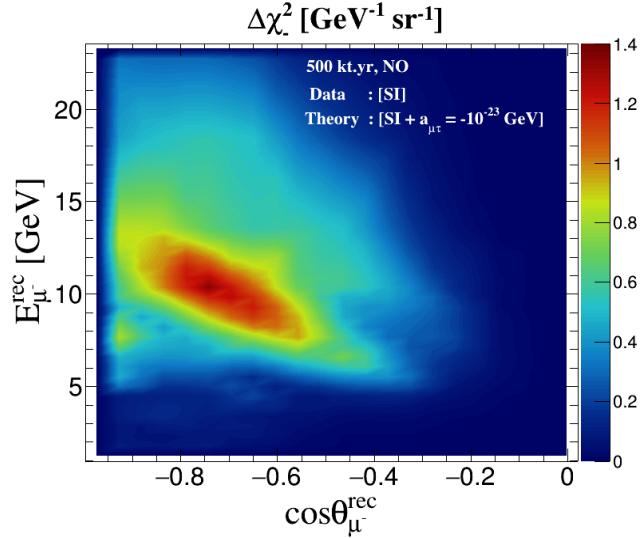
$$N_{ijk}^{\text{theory}} = N_{ijk}^0 \left( 1 + \sum_{l=1}^5 \pi_{ijk}^l \zeta_l \right);$$

$$\chi^2 = \chi^2_- + \chi^2_+$$

$$\Delta \chi^2 = \chi^2_{\text{std+liv}} - \chi^2_{\text{std}}$$

- Flux Normalization Error = 20%
- Interaction Cross-section Error = 10%
- Tilt Error = 5%
- Zenith Error = 5%
- Overall Systematic Error = 5%

# $\Delta\chi^2$ [GeV $^{-1}$ sr $^{-1}$ ] distribution :



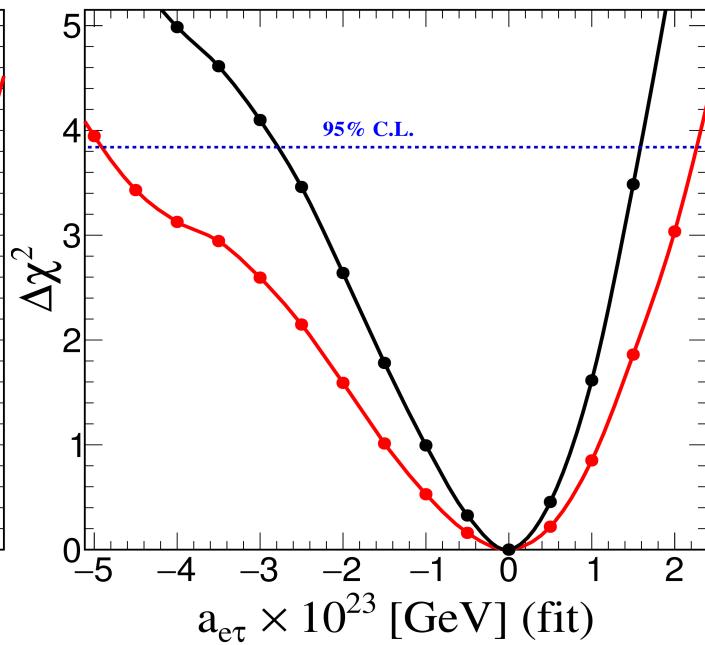
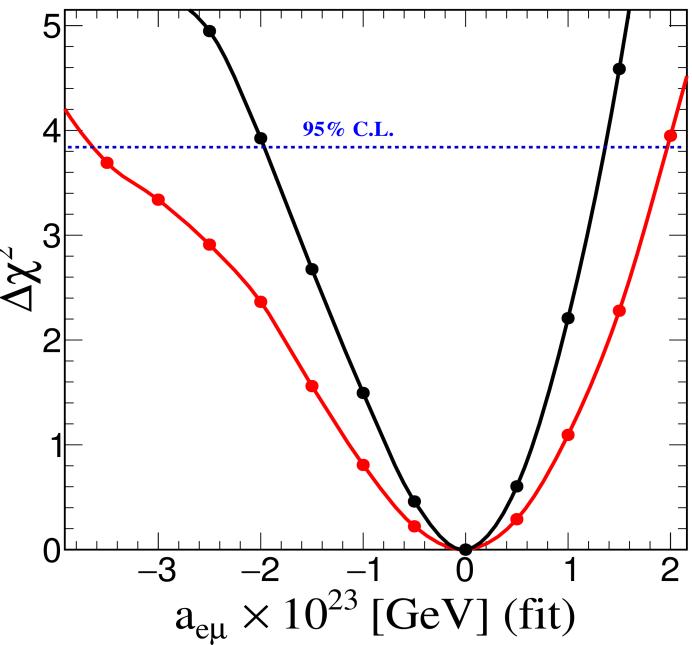
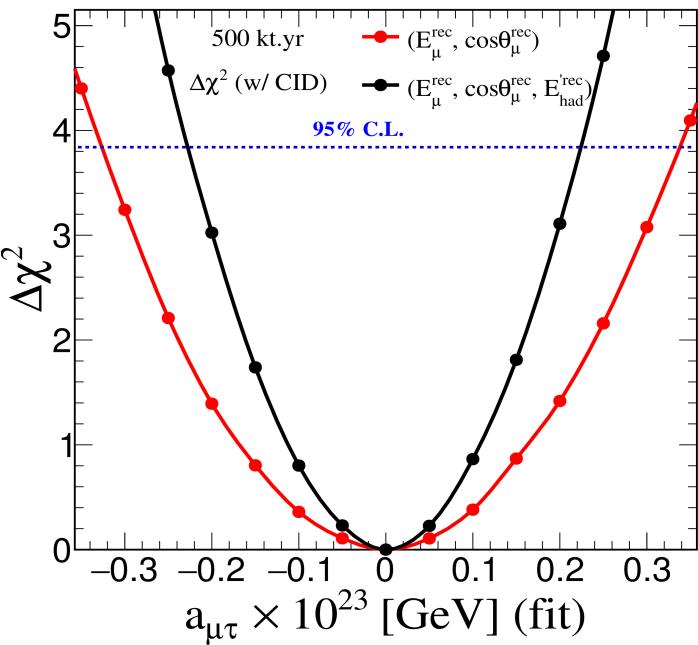
- Fixed-Parameter case
- Data : [SI]
- Theory : [SI +  $a_{\mu\tau} = \pm 1.0 \times 10^{-23}$  GeV]
- Without pull penalty term ( $\zeta^2$ )

## Observation

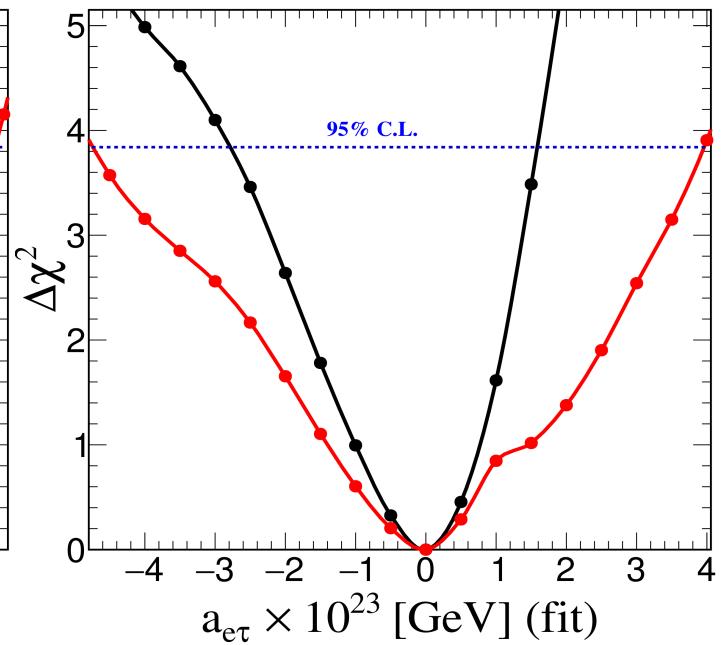
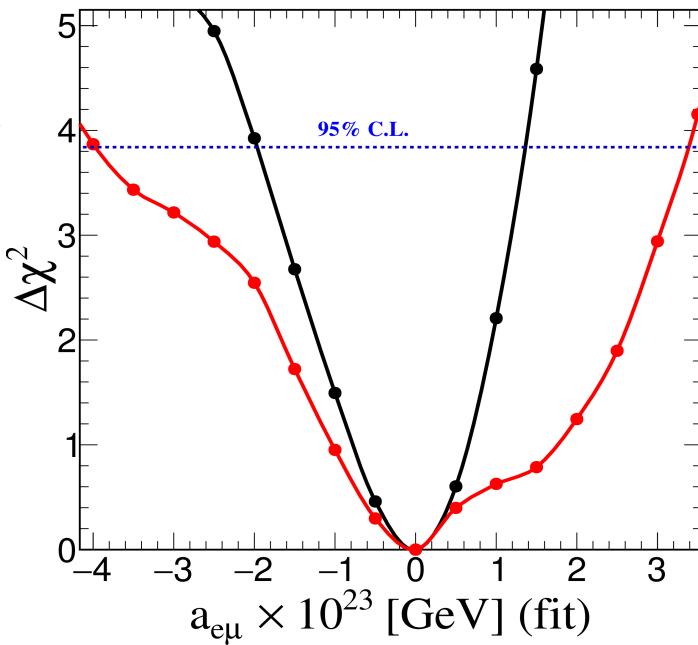
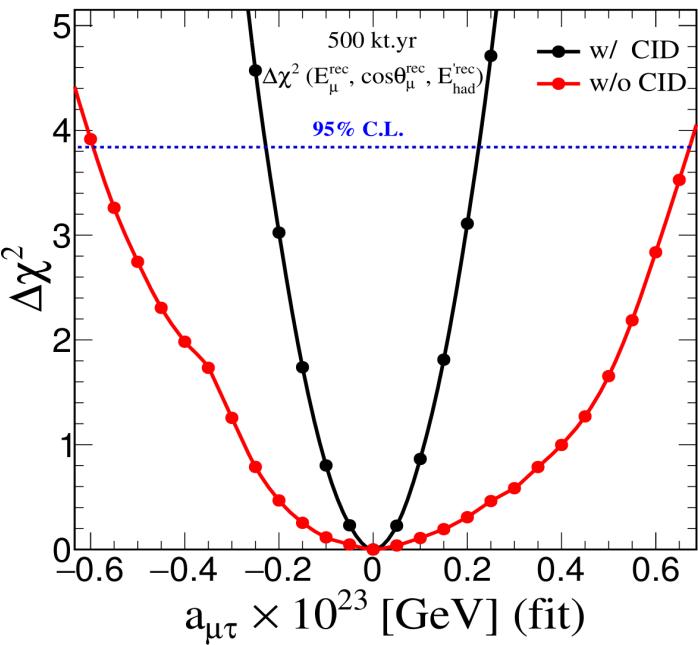
- $\Delta\chi^2$  contribution from  $\mu^-$  is more than the  $\mu^+$
- $\Delta\chi^2$  for  $(- a_{\mu\tau})$  is greater than  $(+a_{\mu\tau})$

# Constraining CPT-Violating LIV parameters (one-at-a-time)

# Improvement of Bounds of CPT-Violating par. (1dof) due to Hadron Information:



# Improvement of Bounds of CPT-Violating par. (1dof) due to CID :



Constraints on CPT-violating LIV parameters

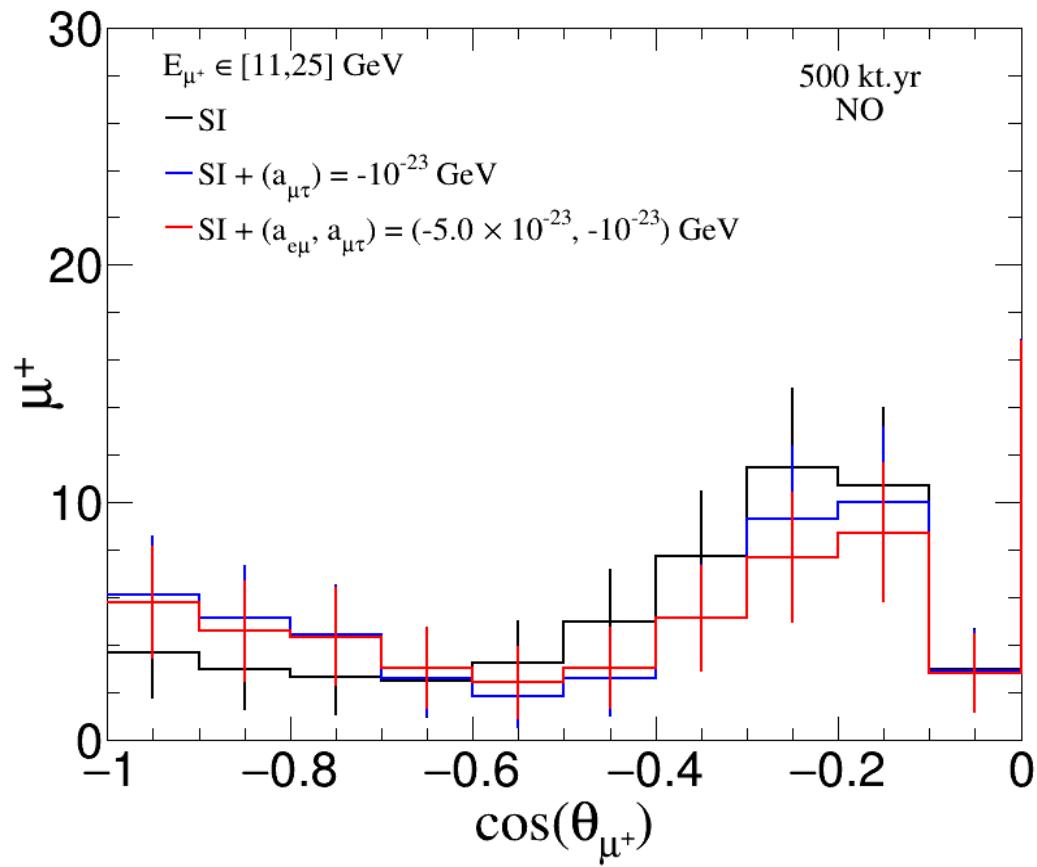
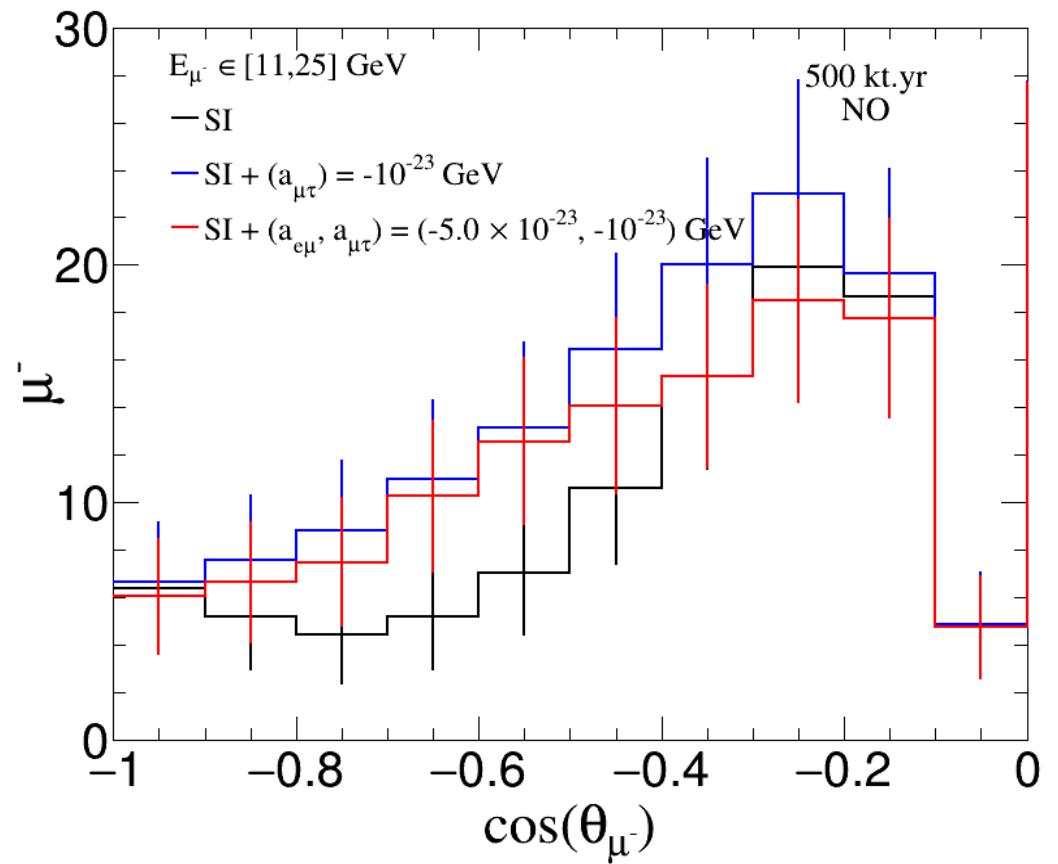
Experiments	$a_{\mu\tau}[10^{-23} \text{ GeV}]$	$a_{e\mu}[10^{-23} \text{ GeV}]$	$a_{e\tau}[10^{-23} \text{ GeV}]$
IceCube (99% C.L.)	$ \text{Re}(a_{\mu\tau})  < 0.29$ $ \text{Im}(a_{\mu\tau})  < 0.29$	—	—
Super-K (95% C.L.)	$\text{Re}(a_{\mu\tau}) < 0.65$ $\text{Im}(a_{\mu\tau}) < 0.51$	$\text{Re}(a_{e\mu}) < 1.8$ $\text{Im}(a_{e\mu}) < 1.8$	$\text{Re}(a_{e\tau}) < 4.1$ $\text{Im}(a_{e\tau}) < 2.8$
ICAL (95% C.L.)	w/o CID	$-0.59 \leq \text{Re}(a_{\mu\tau}) \leq 0.67$	$-3.97 \leq \text{Re}(a_{\mu\tau}) \leq 3.37$
	w/ CID	$-0.23 \leq \text{Re}(a_{\mu\tau}) \leq 0.22$	$-1.97 \leq \text{Re}(a_{\mu\tau}) \leq 1.34$
			$-4.71 \leq \text{Re}(a_{\mu\tau}) \leq 3.96$
			$-2.80 \leq \text{Re}(a_{\mu\tau}) \leq 1.58$

# Impact of non-zero CPT-Violating LIV parameters on M.O. determination

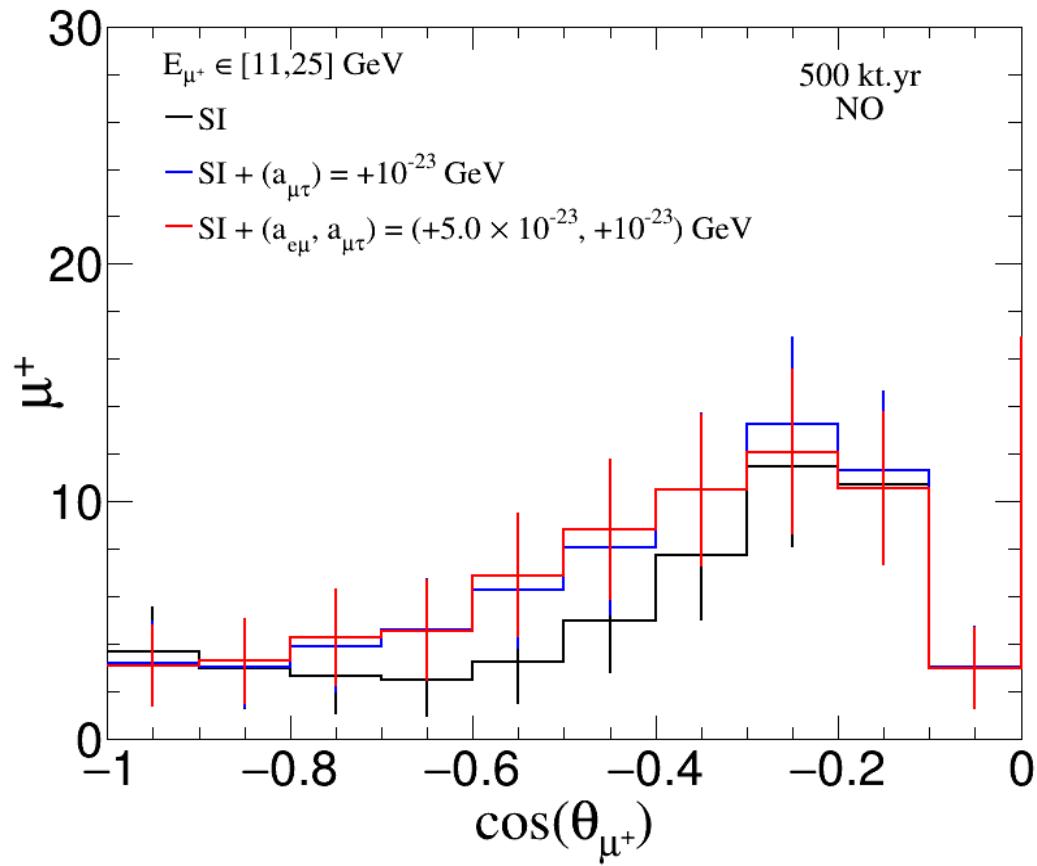
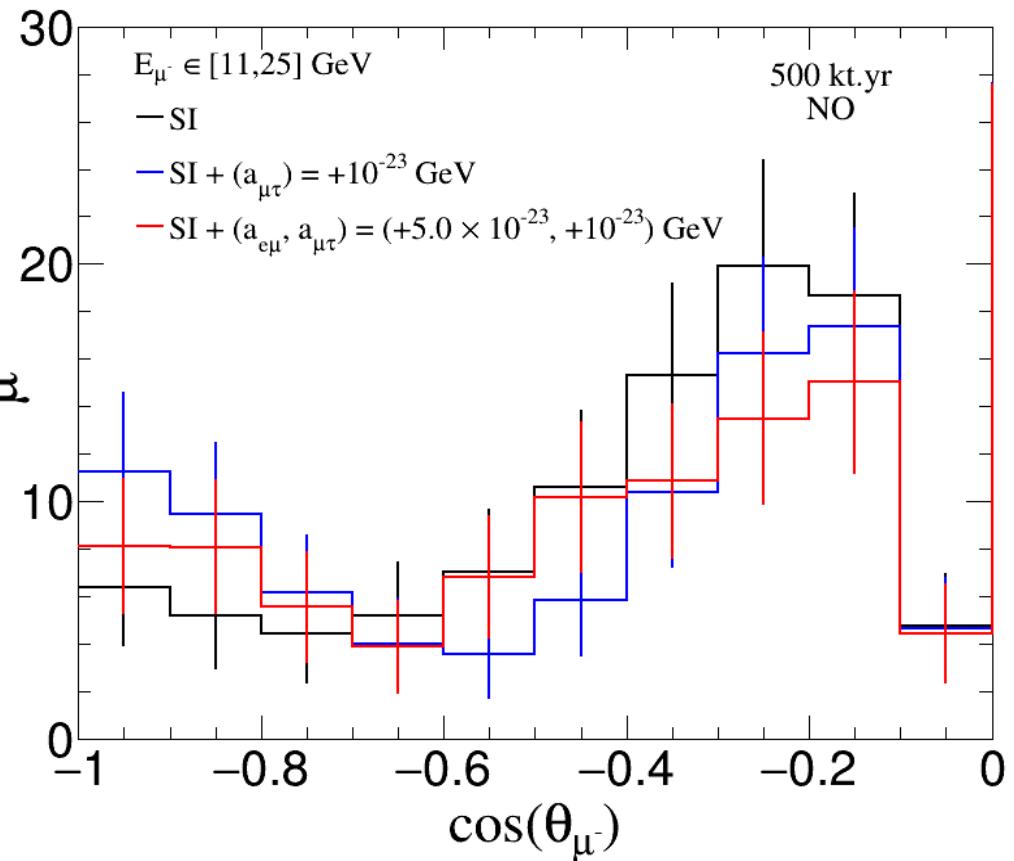
Cases	NO (true)		IO (true)	
	$\Delta\chi^2_{\text{ICAL-MO}}$	Deterioration	$\Delta\chi^2_{\text{ICAL-MO}}$	Deterioration
SI	7.55	—	7.48	—
SI + $a_{\mu\tau}$	6.27	16.8 %	6.34	15.2 %
SI + $a_{e\mu}$	5.08	32.7 %	3.90	47.9 %
SI + $a_{e\tau}$	5.23	30.7 %	4.24	15.2 %

# Impact of non-zero ( $a_{e\mu}, a_{\mu\tau}$ ) on Events

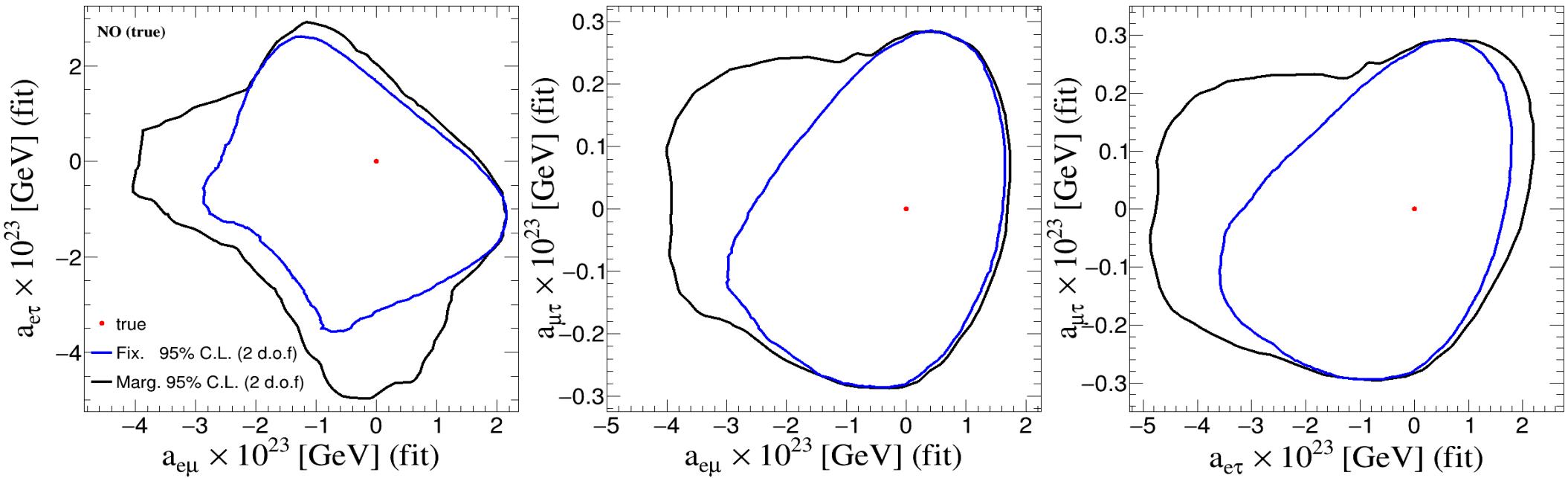
# Impact of non-zero ( $a_{\mu\tau}$ ) on Event Distribution :



# Impact of non-zero ( $a_{\mu\tau}$ ) on Event Distribution :



# Constraining CPT-Violating LIV parameters (two-at-a-time)



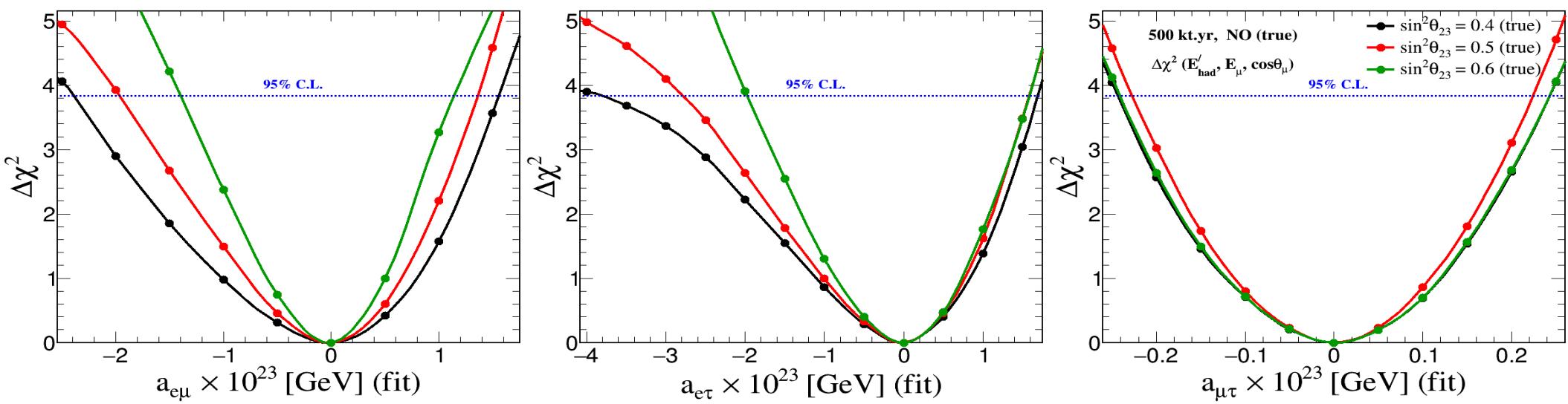
# Summary & Remark

- The upcoming magnetised ICAL detector at INO can play a crucial role to establish three-flavour neutrino oscillation framework by observing atmospheric neutrino and antineutrino separately, in the multi-GeV energy range over a wide range of baselines.
- The prime goals of ICAL are to determine M.O. and precise measurement of oscillation parameter at 2-3 sector.
- Using its excellent muon detection sensitivity, for an exposure of  $500 \text{ kt}\cdot\text{yr}$  we place stringent limits on CPT-violating LIV parameters ( $a_{\mu\tau}, a_{e\mu}, a_{e\tau}$ ) one-at-a-time at 95% C.L. (1 d.o.f), which is slightly better than the current Super-K limits.
- For the first time, we constraint the region of CPT-violating LIV parameters (two-at-a-time) at 95% C.L. (2 d.o.f).
- We also study the effect of non-zero CPT-violating LIV parameters on the M.O. determination.

# Thanking You

# Back Up

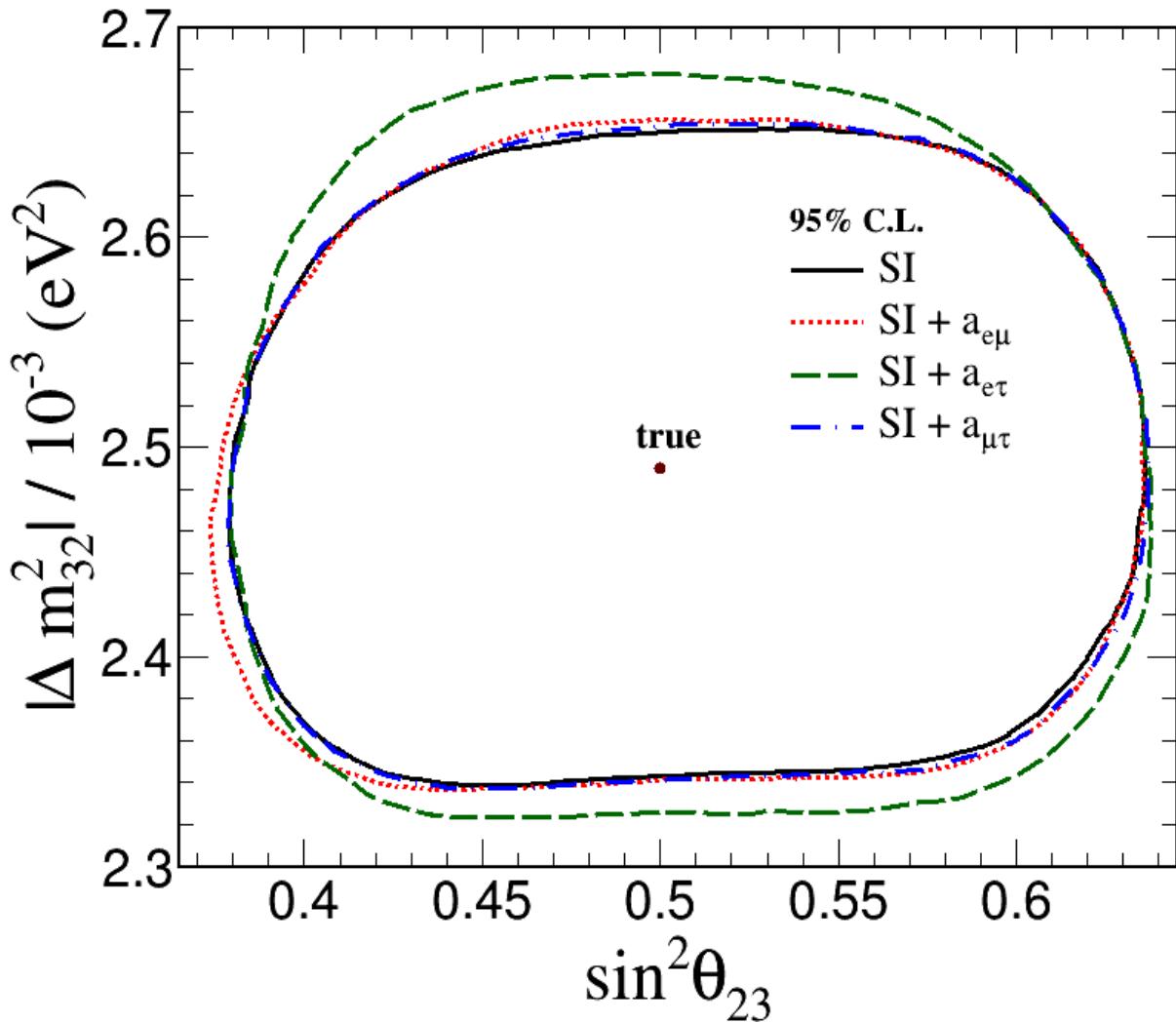
# Impact of $\sin^2 \Theta_{23}$ on LIV CPT-Violating bounds :



## Observation:

- For  $a_{e\mu}$  and  $a_{e\tau}$ ,  $\Delta\chi^2$  [Marg.] is proportional to  $\sin^2 \Theta_{23}$
- For  $a_{\mu\tau}$   $\Delta\chi^2$  [Marg.] is proportional to  $\sin^2 2\Theta_{23}$

# Impact of LIV CPT-Violation on Precision Measurement of $(\Delta m^2_{32}, \sin^2 \theta_{23})$



In Data :

$$(a_{e\mu}, a_{e\tau}, a_{\mu\tau}) = 0,$$

$$\sin^2 \theta_{23} = 0.5, \quad \Delta m^2_{32} = 2.49 \times 10^{-3} \text{ eV}^2$$

In Theory :

$$\sin^2 \theta_{23} \in [0.3, 0.7],$$

$$\Delta m^2_{32} \in [2.3, 2.7] \times 10^{-3} \text{ eV}^2$$

$$(\text{SI} + a_{e\mu}) : a_{e\mu} [-2.0, 1.5] \times 10^{-23} \text{ GeV}$$

$$(\text{SI} + a_{e\tau}) : a_{e\tau} [-2.8, 1.6] \times 10^{-23} \text{ GeV}$$

$$(\text{SI} + a_{\mu\tau}) : a_{\mu\tau} [-2.3, 2.3] \times 10^{-24} \text{ GeV}$$

