

# Constraints on ALPs-Lepton coupling via $\Delta N_{\text{eff}}^{\text{BBN}}$

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# A Lightning review: Axions/ALPs

# Strong CP problem

The CP violating part of the SM after EWSB is

$$\mathcal{L} = -m_q e^{i\theta_m} \bar{q}q (+\text{h.c.}) - \frac{\alpha_s \theta_{\text{QCD}}}{8\pi} G_{a\mu\nu} \tilde{G}^{a\mu\nu}.$$

The CP violating phase from the Yukawa term can be related to  $G_{a\mu\nu} \tilde{G}^{a\mu\nu}$  term as,

$$\theta = \theta_{\text{QCD}} + N_f \theta_m.$$

**The observational consequence  $\rightarrow$  electric dipole moment of neutron which is constrained to very small value  $|d_n| < 10^{-26} \text{ e.cm}$**

**The smallness of this parameter is intriguing as it gets contribution from completely unrelated phases - strong CP problem.**

# Axions

- ▶ Solution to the strong-CP problem = promote  $\theta$  to dynamical field

$$\mathcal{L}_{\text{axion}} = \frac{1}{2} \partial_\mu a \partial^\mu a + \frac{g^2}{32\pi^2} \frac{a(x)}{f_a} G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$$

- ▶ Field is driven to zero under spontaneous breaking of a new global U(1) symmetry (Peccei-Quinn symmetry)
- ▶ **Axions** - the pseudo-Nambu goldstone bosons of spontaneously broken global symmetry
- ▶ Symmetry is broken explicitly at  $\Lambda_{\text{QCD}}$  due to non perturbative QCD effects - **small axion mass** following a relation

$$m_a = 6\mu \text{ eV} \left( \frac{10^{12} \text{ GeV}}{f_a} \right)$$

# Axion like particles(ALPs) .. & properties

- ▶ Generalization of axions to any pseudoscalar (spin 0) associated with a spontaneously broken  $U(1)$  - but **may not** solve the strong CP problem  
example: majorons.
- ▶ Their mass and coupling to photons, in general, are not related.

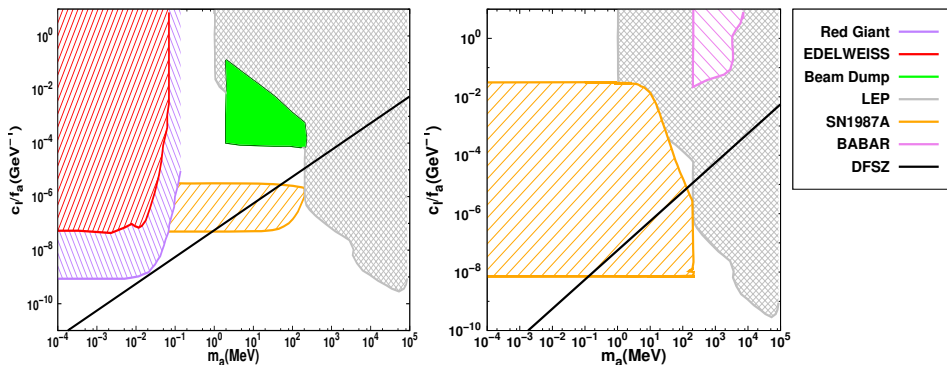
The Lagrangian density describing the interactions of axions or ALPs to SM particles is

$$\mathcal{L} = \frac{1}{2}(\partial_\mu a)^2 + \frac{g_{a\gamma\gamma}}{4f_a} a F_{\mu\nu} \tilde{F}^{\mu\nu} + c_\psi \frac{\partial_\mu a}{2f_a} \bar{\psi} \gamma^\mu \gamma_5 \psi.$$



# ALPs-Lepton coupling

# Bounds and Searches via lepton coupling

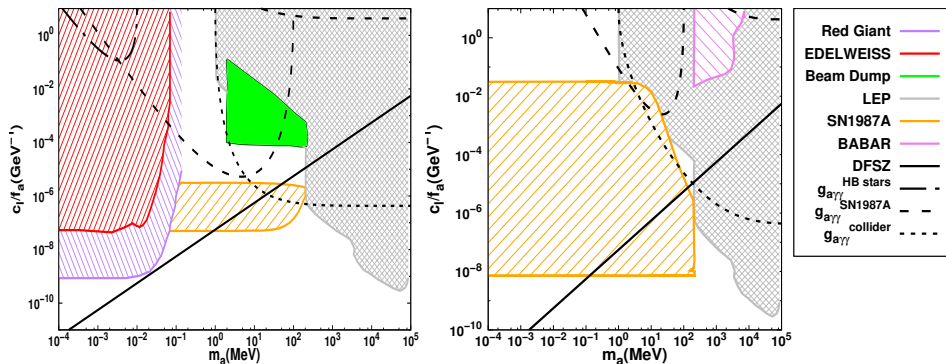


- ▶ Collider bound assumes  $g_{a\gamma\gamma} \sim 10^{-3}$ .
- ▶ EDELWEISS, LUX, PandaX II, XENON1T bounds are stronger for axion as CDM  
XENON Collaboration [2006.09721]
- ▶ CAST constrain  $g_{a\gamma\gamma} c_e/f_a < 10^{-19} \text{ GeV}^{-2}$  for  $m_a \lesssim 0.7 \text{ eV}$  K. Barth et al., 2013

Bounds depicted in the figure are taken from Raffelt et al, Burst et al [1303.5379], Bauer et al. [1708.00443], Calibbi et al. [2006.04795], Croon et al. [2006.13942] etc.



# Induced ALPs-photon coupling



ALPs-Lepton coupling can generate the axion photon coupling at one loop:

$$g_{a\gamma\gamma}^{\text{loop}} = \frac{\alpha_{\text{em}}}{4\pi} \frac{c_l}{f_a} 4 f\left(\frac{m_a^2}{m_l^2}\right) \quad \text{where,} \quad f\left(\frac{m_a^2}{m_l^2}\right) \sim \begin{cases} -\frac{m_a^2}{12 m_l^2}; & m_l \gg m_a, \\ 1; & m_a \gg m_l. \end{cases}$$

# ALPs-Lepton coupling and BBN

# Axion production in the early Universe

ALPs in early Universe can be generated by the following processes

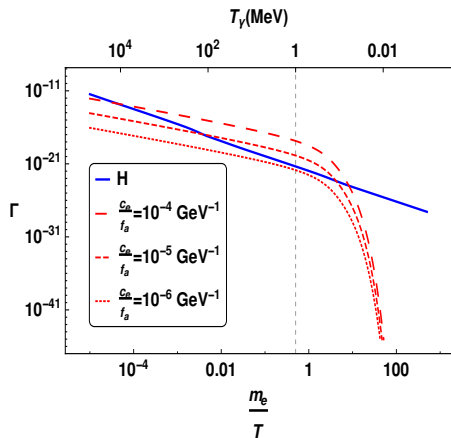
$$l^\pm \gamma \rightarrow l^\pm a$$
$$l^- l^+ \rightarrow \gamma a.$$

Based on dimensional analysis,

$$\langle \sigma v \rangle \sim \begin{cases} \frac{c_l^2 m_l^2}{f_a^2 T^2}; & T \gg m_{l,a}, \\ \frac{c_l^2 m_l^2}{f_a^2 F(m_a^2, m_l^2)}; & T \ll m_l. \end{cases}$$

and thus

$$\frac{\Gamma}{H} \sim \begin{cases} \frac{n_{l,\gamma} \langle \sigma v \rangle M_{\text{pl}}}{T^2} \propto \frac{1}{T}; & T \gg m_{l,a}, \\ \frac{n_\gamma \langle \sigma v \rangle M_{\text{pl}}}{T^2} \propto T; & T \ll m_l. \end{cases}$$



# Relativistic degrees of freedom and $\Delta N_{\text{eff}}$

- ▶ The non-negligible yield the energy density of BSM particles, during the BBN, increase the Hubble parameter.
- ▶ A larger Hubble parameter  $\Rightarrow$  modification to the neutron-to-proton ratio, which in turn changes the abundance of Helium-4 and Deuterium.
- ▶ This effect is captured by a quantity called  $\Delta N_{\text{eff}}^{\text{BBN}}$  defined as

$$\Delta N_{\text{eff}}^{\text{BBN}} = \frac{8}{7} \frac{\rho_{\text{BSM}}}{\rho_{\gamma}}$$

**ALPs out of equilibrium can also contribute to the total energy budget of Universe.**

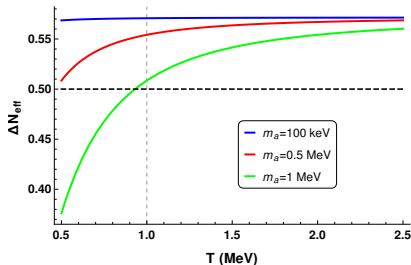


Figure:  $\Delta N_{\text{eff}}$  as function of  $T$  assuming that the ALPs are in thermal equilibrium.

# How to calculate $\rho_a$ ?

- ▶ Set up the Boltzmann equation

$$\frac{\partial f_i(|\vec{p}|, t)}{\partial t} - H|\vec{p}| \frac{\partial f_i(|\vec{p}|, t)}{\partial |\vec{p}|} = C[f_i(|\vec{p}|, t)]$$

for distribution functions,  $f_i$  with  $i =$  axion, neutrinos and electrons.

- ▶ Use Friedmann equations

$$H^2 = \frac{8\pi G \rho^{\text{tot.}}}{3} \quad \frac{d\rho}{dt} = -3H(\rho + P)$$

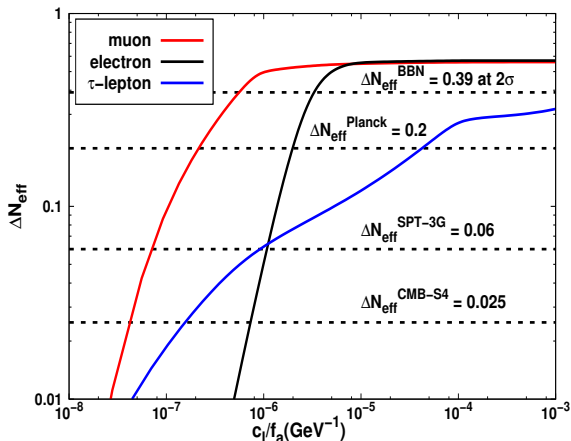
to calculate the evolution of temperature of the plasma.

- ▶ **Initial abundance of axions is taken zero.**
- ▶ The energy density is calculated as

$$\rho_i = \frac{g}{2\pi^2} \int_0^\infty dp p^2 E f_i$$

- ▶ To solve these first-order partial differential equations, the characteristics curves method is adopted.

# $\Delta N_{\text{eff}}$ vs $c_l/f_a$

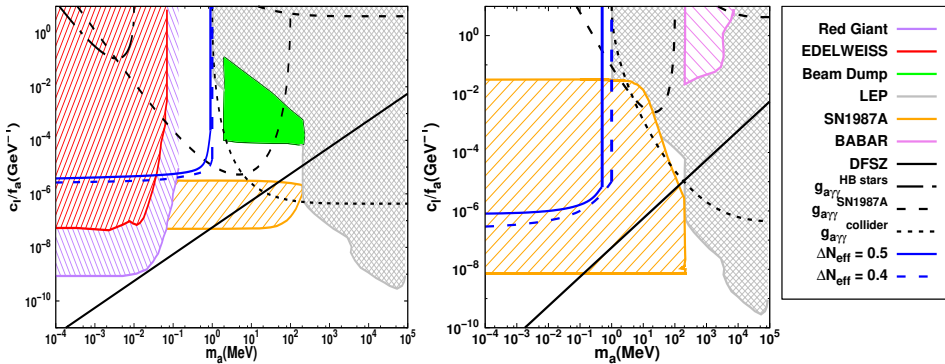


For relativistic axions during CMB decoupling, Planck 2018 results limits

$$(c_e/f_a, c_\mu/f_a, c_\tau/f_a) \sim (10^{-6}, 10^{-7}, 10^{-6})$$

confirms the result in Brust, Kaplan, Walters [1303.5379]

# $\Delta N_{\text{eff}}^{\text{BBN}}$ tells us ....



- ▶ Latest measurement and analysis of Helium and Deuterium abundance constrain  $N_{\text{eff}}^{\text{BBN}} = 2.878 \pm 0.278$  at 68.3% CL

Fields, Olive, Yeh, Young [1912.01132]

- ▶  $\Rightarrow \Delta N_{\text{eff}}^{\text{BBN}} < 0.39$  at  $2\sigma$  using  $N_{\text{eff}}^{\text{SM}} = 3.046$
- ▶ The stronger constraint on  $\Delta N_{\text{eff}}$  obtained from the CMB is applicable only for  $m_a \gtrsim \text{eV}$ .

# Summary

- ▶ In the presence of non-zero  $c_l/f_a$ , the ALPs can be produced in the early universe and contribute to  $\Delta N_{\text{eff}}$ .
- ▶ The full Boltzmann equations are solved for ALPs that are not in equilibrium with the thermal plasma and  $\Delta N_{\text{eff}}^{\text{BBN}}$  is calculated.
- ▶ Bounds obtained are the most stringent one for the ALP-electron interaction strength for  $20\text{keV} \leq m_a \leq 1\text{MeV}$ .
- ▶ Analysis improves limit for the ALP-muon interaction strength for  $m_a < 1\text{MeV}$  and  $c_\mu/f_a \leq 10^{-2}\text{GeV}^{-1}$ .